

# Why $\text{Ring}(A, k) / G$ injects into $\text{Ring}(A^G, k)$

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I shall use the following notations:

- If  $G$  is a group, and if  $S$  is a  $G$ -set, then  $S^G$  shall denote the set of all fixed points under  $G$  in  $S$ . (In other words,  $S^G = \{s \in S \mid gs = s \text{ for all } g \in G\}$ .)
- If  $G$  is a group, and if  $S$  is a  $G$ -set, then  $S/G$  shall denote the set of all  $G$ -orbits on  $S$ . (In other words,  $S/G = \{Gs \mid s \in S\}$ .)
- If  $U$  and  $V$  are two rings, then  $\text{Ring}(U, V)$  denotes the set of all ring homomorphisms from  $U$  to  $V$ .

The crux of [KucSch16, Lemma 4.9] is the following elementary fact:

**Proposition 0.1.** Let  $A$  be a commutative ring. Let  $G$  be a finite group acting on  $A$  by ring automorphisms. Let  $k$  be an integral domain. Notice that  $\text{Ring}(A, k)$  becomes a  $G$ -set in an obvious way (namely, by setting  $(gx)(a) = x(g^{-1}a)$  for all  $g \in G$ ,  $x \in \text{Ring}(A, k)$  and  $a \in A$ ). Then, the map

$$\begin{aligned} \text{Ring}(A, k) / G &\rightarrow \text{Ring}(A^G, k), \\ Gx &\mapsto x|_{A^G} \end{aligned}$$

is injective.

In other words, this says that if two ring homomorphisms  $x : A \rightarrow k$  and  $y : A \rightarrow k$  are identical on the invariant ring  $A^G$  (that is, we have  $x|_{A^G} = y|_{A^G}$ ), then  $x$  and  $y$  are in the same  $G$ -orbit on  $\text{Ring}(A, k)$ .

I shall give an elementary proof of Proposition 0.1 (using nothing but Viète's formulas and basic properties of polynomial rings). First, let me prove a lemma:

**Lemma 0.2.** Let  $A$  be a commutative ring. Let  $G$  be a finite group acting on  $A$  by ring automorphisms. Let  $k$  be an integral domain. Let  $x$  and  $y$  be two elements of  $\text{Ring}(A, k)$  such that  $x|_{A^G} = y|_{A^G}$ . Let  $a \in A$ . Then, there exists some  $g \in G$  such that  $x(a) = y(ga)$ .

*Proof of Lemma 0.2.* If  $S$  is a finite set, if  $R$  is a commutative ring, if  $(b_s)_{s \in S} \in R^S$  is a family of elements of  $R$ , and if  $\ell \in \mathbb{N}$ , then we shall let  $e_\ell((b_s)_{s \in S})$  denote the  $\ell$ -th elementary symmetric polynomial of the elements  $b_s$  (with  $s \in S$ ). Explicitly, it is given by

$$e_\ell((b_s)_{s \in S}) = \sum_{\substack{T \subseteq S; \\ |T| = \ell}} \prod_{t \in T} b_t.$$

For example,

$$\begin{aligned} e_0((b_s)_{s \in S}) &= 1 & \text{and} & & e_1((b_s)_{s \in S}) &= \sum_{s \in S} b_s \\ \text{and} & & e_{|S|}((b_s)_{s \in S}) &= \prod_{s \in S} b_s. \end{aligned}$$

The following fact is a form of Viete's relations:

*Fact 1:* Let  $S$  be a finite set. Let  $R$  be a commutative ring. Let  $(b_s)_{s \in S} \in R^S$  be a family of elements of  $R$ . Let  $t \in R$ . Then,

$$\prod_{s \in S} (t - b_s) = \sum_{\ell=0}^{|S|} t^{|S|-\ell} (-1)^\ell e_\ell((b_s)_{s \in S}).$$

(Fact 1 follows easily by expanding the product  $\prod_{s \in S} (t - b_s)$  and collecting like powers of  $t$ .)

Now, let us return to the proof of Lemma 0.2. Fix  $\ell \in \mathbb{N}$ . Set  $\varepsilon_\ell = e_\ell((ga)_{g \in G}) \in A$ .

Each element of the group  $G$  merely permutes the elements of the family  $(ga)_{g \in G}$ . Thus, the element  $e_\ell((ga)_{g \in G})$  is invariant under  $G$  (being defined as a symmetric polynomial in this family), and thus lies in  $A^G$ . Thus,  $e_\ell((ga)_{g \in G}) \in A^G$ , so that  $\varepsilon_\ell = e_\ell((ga)_{g \in G}) \in A^G$ . Hence,

$$x(\varepsilon_\ell) = \underbrace{(x|_{A^G})(\varepsilon_\ell)}_{=y|_{A^G}} = (y|_{A^G})(\varepsilon_\ell) = y(\varepsilon_\ell). \quad (1)$$

But from  $\varepsilon_\ell = e_\ell((ga)_{g \in G})$ , we obtain

$$x(\varepsilon_\ell) = x\left(e_\ell((ga)_{g \in G})\right) = e_\ell\left(x(ga)_{g \in G}\right) \quad (2)$$

(since  $x$  is a ring homomorphism while  $e_\ell$  is a natural transformation) and similarly

$$y(\varepsilon_\ell) = e_\ell \left( (y(ga))_{g \in G} \right). \quad (3)$$

Hence, (2) yields

$$e_\ell \left( (x(ga))_{g \in G} \right) = x(\varepsilon_\ell) = y(\varepsilon_\ell) = e_\ell \left( (y(ga))_{g \in G} \right). \quad (4)$$

Now, forget that we fixed  $\ell$ . We thus have shown that (4) holds for every  $\ell \in \mathbb{N}$ . In the polynomial ring  $k[t]$ , we have

$$\prod_{g \in G} (t - x(ga)) = \sum_{\ell=0}^{|G|} t^{|G|-\ell} (-1)^\ell e_\ell \left( (x(ga))_{g \in G} \right) \quad (5)$$

(by Fact 1, applied to  $R = k[t]$  and  $S = G$  and  $(b_s)_{s \in S} = (x(ga))_{g \in G}$ ) and similarly

$$\prod_{g \in G} (t - y(ga)) = \sum_{\ell=0}^{|G|} t^{|G|-\ell} (-1)^\ell e_\ell \left( (y(ga))_{g \in G} \right). \quad (6)$$

From (4), we see that the right hand sides of (5) and (6) are equal. Hence, so are the left hand sides. In other words,

$$\prod_{g \in G} (t - x(ga)) = \prod_{g \in G} (t - y(ga))$$

in  $k[t]$ . If we evaluate both sides of this equality at  $t = x(a)$ , we obtain

$$\prod_{g \in G} (x(a) - x(ga)) = \prod_{g \in G} (x(a) - y(ga)). \quad (7)$$

The factor of the product  $\prod_{g \in G} (x(a) - x(ga))$  for  $g = 1$  is 0. Thus, the whole product

is 0. In other words, the left hand side of (7) is 0. Hence, so is the right hand side. In other words,  $\prod_{g \in G} (x(a) - y(ga)) = 0$ . Since  $k$  is an integral domain, this shows

that there exists some  $g \in G$  such that  $x(a) - y(ga) = 0$ . In other words, there exists some  $g \in G$  such that  $x(a) = y(ga)$ . Lemma 0.2 is proven.  $\square$

*Proof of Proposition 0.1.* We must show that if  $x$  and  $y$  are two elements of Ring  $(A, k)$  such that  $x|_{A^G} = y|_{A^G}$ , then  $Gx = Gy$ .

Indeed, assume the contrary. Then, there exist two elements  $x$  and  $y$  of Ring  $(A, k)$  such that  $x|_{A^G} = y|_{A^G}$  but  $Gx \neq Gy$ . Consider these  $x$  and  $y$ . From  $Gx \neq Gy$ , we obtain  $x \notin Gy$ . Hence, for every  $g \in G$ , we have  $x \neq gy$ . Hence, for every  $g \in G$ , there exists some  $a_g \in A$  such that  $x(a_g) \neq (gy)(a_g)$ . Consider this  $a_g$ .

For each  $g \in G$ , introduce a new indeterminate  $s_g$ . For each commutative ring  $B$ , we let  $\tilde{B}$  denote the polynomial ring  $B[s_g \mid g \in G]$  in all these indeterminates.

The polynomial ring  $\tilde{k} = k[s_g \mid g \in G]$  is an integral domain (since  $k$  is an integral domain). The polynomial ring  $\tilde{A} = A[s_g \mid g \in G]$  is equipped with a  $G$ -action by automorphisms: namely, we let  $G$  act on the coefficients (that is, the inclusion  $A \rightarrow \tilde{A}$  should be  $G$ -equivariant), while leaving all indeterminates  $s_g$  unchanged (that is, we have  $hs_g = s_g$  for all  $g, h \in G$ ; not  $hs_g = s_{hg}$ ).

Thus, a polynomial  $f \in \tilde{A} = A[s_g \mid g \in G]$  is a fixed point under  $G$  if and only if all its coefficients are fixed points under  $G$ . In other words,  $\tilde{A}^G = A^G[s_g \mid g \in G]$ .

Define an element  $a$  of  $\tilde{A}$  by  $a = \sum_{h \in G} a_h s_h$ .

Any ring homomorphism  $f : A \rightarrow k$  canonically induces a ring homomorphism  $\tilde{f}$  from  $\tilde{A} = A[s_g \mid g \in G]$  to  $\tilde{k} = k[s_g \mid g \in G]$  which homomorphism acts as  $f$  on the coefficients (that is,  $\tilde{f}(\alpha) = f(\alpha)$  for each  $\alpha \in k$ ) while leaving the indeterminates  $s_g$  unchanged (that is,  $\tilde{f}(s_g) = s_g$  for each  $g \in G$ ). Thus, in particular, the two ring homomorphisms  $x$  and  $y$  from  $A$  to  $k$  canonically induce two ring homomorphisms  $\tilde{x}$  and  $\tilde{y}$  from  $\tilde{A} = A[s_g \mid g \in G]$  to  $\tilde{k} = k[s_g \mid g \in G]$  (which homomorphisms act as  $x$  and  $y$  (respectively) on the coefficients while leaving the indeterminates unchanged). These new ring homomorphisms  $\tilde{x}$  and  $\tilde{y}$  have the property that

$$\tilde{x} \mid_{A^G[s_g \mid g \in G]} = \tilde{y} \mid_{A^G[s_g \mid g \in G]}$$

(since  $x \mid_{A^G} = y \mid_{A^G}$  and since  $\tilde{x}(s_g) = s_g = \tilde{y}(s_g)$  for each  $g \in G$ ). This rewrites as

$$\tilde{x} \mid_{\tilde{A}^G} = \tilde{y} \mid_{\tilde{A}^G}$$

(since  $\tilde{A}^G = A^G[s_g \mid g \in G]$ ). Hence, Lemma 0.2 (applied to  $\tilde{A}$ ,  $\tilde{k}$ ,  $\tilde{x}$  and  $\tilde{y}$  instead of  $A$ ,  $k$ ,  $x$  and  $y$ ) shows that there exists some  $g \in G$  such that  $\tilde{x}(a) = \tilde{y}(ga)$ . Consider this  $g$ .

From  $a = \sum_{h \in G} a_h s_h$ , we obtain

$$\tilde{x}(a) = \tilde{x}\left(\sum_{h \in G} a_h s_h\right) = \sum_{h \in G} x(a_h) s_h \quad (8)$$

(by the definition of  $\tilde{x}$ ), but also

$$ga = g \sum_{h \in G} a_h s_h = \sum_{h \in G} ga_h s_h.$$

Applying the map  $\tilde{y}$  to the latter equality, we find

$$\tilde{y}(ga) = \tilde{y}\left(\sum_{h \in G} ga_h s_h\right) = \sum_{h \in G} y(ga_h) s_h \quad (\text{by the definition of } \tilde{y}).$$

Hence, (8) yields

$$\sum_{h \in G} x(a_h) s_h = \tilde{x}(a) = \tilde{y}(ga) = \sum_{h \in G} y(ga_h) s_h.$$

Comparing coefficients before  $s_h$  in this equality, we conclude that

$$x(a_h) = y(ga_h) \quad \text{for all } h \in G. \quad (9)$$

Applying this to  $h = g^{-1}$ , we find  $x(a_{g^{-1}}) = y(ga_{g^{-1}})$ . But the definition of  $a_{g^{-1}}$  yields  $x(a_{g^{-1}}) \neq (g^{-1}y)(a_{g^{-1}}) = y\left(\underbrace{(g^{-1})^{-1}}_{=g} a_{g^{-1}}\right) = y(ga_{g^{-1}})$ , which contradicts  $x(a_{g^{-1}}) = y(ga_{g^{-1}})$ . This contradiction completes our proof.  $\square$

## References

[KucSch16] Robert A. Kucharczyk, Peter Scholze, *Topological realisations of absolute Galois groups*, arXiv:1609.04717v2.