

# Ideals of $QSym$ , shuffle-compatibility and exterior peaks

Darij Grinberg (UMN)

28 February 2018  
University of Washington

**slides:** [http:](http://www.cip.ifi.lmu.de/~grinberg/algebra/seattle18.pdf)

[//www.cip.ifi.lmu.de/~grinberg/algebra/seattle18.pdf](http://www.cip.ifi.lmu.de/~grinberg/algebra/seattle18.pdf)

**paper:** [http:](http://www.cip.ifi.lmu.de/~grinberg/algebra/gzshuf2.pdf)

[//www.cip.ifi.lmu.de/~grinberg/algebra/gzshuf2.pdf](http://www.cip.ifi.lmu.de/~grinberg/algebra/gzshuf2.pdf)

**project:** <https://github.com/darijgr/gzshuf>

# Section 1

---

## Shuffle-compatibility

Reference:

- Ira M. Gessel, Yan Zhuang, *Shuffle-compatible permutation statistics*, arXiv:1706.00750.

- This project spins off from a paper by Ira Gessel and Yan Zhuang ([arXiv:1706.00750](https://arxiv.org/abs/1706.00750)), which Yan presented here last week.

We prove a conjecture (shuffle-compatibility of  $E_{pk}$ ) and study a stronger version of shuffle-compatibility.

- This project spins off from a paper by Ira Gessel and Yan Zhuang ([arXiv:1706.00750](https://arxiv.org/abs/1706.00750)), which Yan presented here last week.

We prove a conjecture (shuffle-compatibility of  $E_{pk}$ ) and study a stronger version of shuffle-compatibility.

- Let  $\mathbb{N} = \{0, 1, 2, \dots\}$ .
- For  $n \in \mathbb{N}$ , an  *$n$ -permutation* means a tuple of  $n$  distinct positive integers.

Example:  $(3, 1, 7)$  is a 3-permutation, but  $(2, 1, 2)$  is not.

- This project spins off from a paper by Ira Gessel and Yan Zhuang ([arXiv:1706.00750](https://arxiv.org/abs/1706.00750)), which Yan presented here last week.

We prove a conjecture (shuffle-compatibility of  $E_{pk}$ ) and study a stronger version of shuffle-compatibility.

- Let  $\mathbb{N} = \{0, 1, 2, \dots\}$ .
- For  $n \in \mathbb{N}$ , an  *$n$ -permutation* means a tuple of  $n$  distinct positive integers.  
Example:  $(3, 1, 7)$  is a 3-permutation, but  $(2, 1, 2)$  is not.
- A *permutation* means an  $n$ -permutation for some  $n$ .

- This project spins off from a paper by Ira Gessel and Yan Zhuang ([arXiv:1706.00750](https://arxiv.org/abs/1706.00750)), which Yan presented here last week.

We prove a conjecture (shuffle-compatibility of  $E_{pk}$ ) and study a stronger version of shuffle-compatibility.

- Let  $\mathbb{N} = \{0, 1, 2, \dots\}$ .
- For  $n \in \mathbb{N}$ , an  *$n$ -permutation* means a tuple of  $n$  distinct positive integers.  
Example:  $(3, 1, 7)$  is a 3-permutation, but  $(2, 1, 2)$  is not.
- A *permutation* means an  $n$ -permutation for some  $n$ .  
If  $\pi$  is an  $n$ -permutation, then  $|\pi| := n$ .

- This project spins off from a paper by Ira Gessel and Yan Zhuang ([arXiv:1706.00750](https://arxiv.org/abs/1706.00750)), which Yan presented here last week.

We prove a conjecture (shuffle-compatibility of  $\text{Epk}$ ) and study a stronger version of shuffle-compatibility.

- Let  $\mathbb{N} = \{0, 1, 2, \dots\}$ .
- For  $n \in \mathbb{N}$ , an  *$n$ -permutation* means a tuple of  $n$  distinct positive integers.  
Example:  $(3, 1, 7)$  is a 3-permutation, but  $(2, 1, 2)$  is not.
- A *permutation* means an  $n$ -permutation for some  $n$ .  
If  $\pi$  is an  $n$ -permutation, then  $|\pi| := n$ .  
We say that  $\pi$  is *nonempty* if  $n > 0$ .

- This project spins off from a paper by Ira Gessel and Yan Zhuang ([arXiv:1706.00750](https://arxiv.org/abs/1706.00750)), which Yan presented here last week.

We prove a conjecture (shuffle-compatibility of  $\text{Epk}$ ) and study a stronger version of shuffle-compatibility.

- Let  $\mathbb{N} = \{0, 1, 2, \dots\}$ .
- For  $n \in \mathbb{N}$ , an  *$n$ -permutation* means a tuple of  $n$  distinct positive integers.  
Example:  $(3, 1, 7)$  is a 3-permutation, but  $(2, 1, 2)$  is not.
- A *permutation* means an  $n$ -permutation for some  $n$ .  
If  $\pi$  is an  $n$ -permutation, then  $|\pi| := n$ .  
We say that  $\pi$  is *nonempty* if  $n > 0$ .
- If  $\pi$  is an  $n$ -permutation and  $i \in \{1, 2, \dots, n\}$ , then  $\pi_i$  denotes the  $i$ -th entry of  $\pi$ .



- Two  $n$ -permutations  $\alpha$  and  $\beta$  (with the same  $n$ ) are *order-equivalent* if all  $i, j \in \{1, 2, \dots, n\}$  satisfy  $(\alpha_i < \alpha_j) \iff (\beta_i < \beta_j)$ .
- Order-equivalence is an equivalence relation on permutations. Its equivalence classes are called *order-equivalence classes*.

- Two  $n$ -permutations  $\alpha$  and  $\beta$  (with the same  $n$ ) are *order-equivalent* if all  $i, j \in \{1, 2, \dots, n\}$  satisfy  $(\alpha_i < \alpha_j) \iff (\beta_i < \beta_j)$ .
- Order-equivalence is an equivalence relation on permutations. Its equivalence classes are called *order-equivalence classes*.
- A *permutation statistic* (henceforth just *statistic*) is a map st from the set of all permutations (to anywhere) that is constant on each order-equivalence class.

**Intuition:** A statistic computes some “fingerprint” of a permutation that only depends on the relative order of its letters.

- Two  $n$ -permutations  $\alpha$  and  $\beta$  (with the same  $n$ ) are *order-equivalent* if all  $i, j \in \{1, 2, \dots, n\}$  satisfy  $(\alpha_i < \alpha_j) \iff (\beta_i < \beta_j)$ .
- Order-equivalence is an equivalence relation on permutations. Its equivalence classes are called *order-equivalence classes*.
- A *permutation statistic* (henceforth just *statistic*) is a map st from the set of all permutations (to anywhere) that is constant on each order-equivalence class.

**Intuition:** A statistic computes some “fingerprint” of a permutation that only depends on the relative order of its letters.

**Note:** A statistic need not be integer-valued! It can be set-valued, or list-valued for example.

## Examples of permutation statistics, 1: descents et al

- If  $\pi$  is an  $n$ -permutation, then a *descent* of  $\pi$  means an  $i \in \{1, 2, \dots, n-1\}$  such that  $\pi_i > \pi_{i+1}$ .
- The *descent set*  $\text{Des } \pi$  of a permutation  $\pi$  is the set of all descents of  $\pi$ .

Thus,  $\text{Des}$  is a statistic.

**Example:**  $\text{Des}(3, 1, 5, 2, 4) = \{1, 3\}$ .

## Examples of permutation statistics, 1: descents et al

- If  $\pi$  is an  $n$ -permutation, then a *descent* of  $\pi$  means an  $i \in \{1, 2, \dots, n-1\}$  such that  $\pi_i > \pi_{i+1}$ .
- The *descent set*  $\text{Des } \pi$  of a permutation  $\pi$  is the set of all descents of  $\pi$ .

Thus,  $\text{Des}$  is a statistic.

**Example:**  $\text{Des}(3, 1, 5, 2, 4) = \{1, 3\}$ .

- The *descent number*  $\text{des } \pi$  of a permutation  $\pi$  is the number of all descents of  $\pi$ : that is,  $\text{des } \pi = |\text{Des } \pi|$ .

Thus,  $\text{des}$  is a statistic.

**Example:**  $\text{des}(3, 1, 5, 2, 4) = 2$ .

## Examples of permutation statistics, 1: descents et al

- If  $\pi$  is an  $n$ -permutation, then a *descent* of  $\pi$  means an  $i \in \{1, 2, \dots, n-1\}$  such that  $\pi_i > \pi_{i+1}$ .
- The *descent set*  $\text{Des } \pi$  of a permutation  $\pi$  is the set of all descents of  $\pi$ .

Thus,  $\text{Des}$  is a statistic.

**Example:**  $\text{Des}(3, 1, 5, 2, 4) = \{1, 3\}$ .

- The *descent number*  $\text{des } \pi$  of a permutation  $\pi$  is the number of all descents of  $\pi$ : that is,  $\text{des } \pi = |\text{Des } \pi|$ .

Thus,  $\text{des}$  is a statistic.

**Example:**  $\text{des}(3, 1, 5, 2, 4) = 2$ .

- The *major index*  $\text{maj } \pi$  of a permutation  $\pi$  is the **sum** of all descents of  $\pi$ .

Thus,  $\text{maj}$  is a statistic.

**Example:**  $\text{maj}(3, 1, 5, 2, 4) = 4$ .

## Examples of permutation statistics, 1: descents et al

- If  $\pi$  is an  $n$ -permutation, then a *descent* of  $\pi$  means an  $i \in \{1, 2, \dots, n-1\}$  such that  $\pi_i > \pi_{i+1}$ .
- The *descent set*  $\text{Des } \pi$  of a permutation  $\pi$  is the set of all descents of  $\pi$ .

Thus,  $\text{Des}$  is a statistic.

**Example:**  $\text{Des}(3, 1, 5, 2, 4) = \{1, 3\}$ .

- The *descent number*  $\text{des } \pi$  of a permutation  $\pi$  is the number of all descents of  $\pi$ : that is,  $\text{des } \pi = |\text{Des } \pi|$ .

Thus,  $\text{des}$  is a statistic.

**Example:**  $\text{des}(3, 1, 5, 2, 4) = 2$ .

- The *major index*  $\text{maj } \pi$  of a permutation  $\pi$  is the **sum** of all descents of  $\pi$ .

Thus,  $\text{maj}$  is a statistic.

**Example:**  $\text{maj}(3, 1, 5, 2, 4) = 4$ .

- The *Coxeter length*  $\text{inv}$  (i.e., *number of inversions*) and the *set of inversions* are statistics, too.

## Examples of permutation statistics, 2: peaks

- If  $\pi$  is an  $n$ -permutation, then a *peak* of  $\pi$  means an  $i \in \{2, 3, \dots, n-1\}$  such that  $\pi_{i-1} < \pi_i > \pi_{i+1}$ .  
(Thus, peaks can only exist if  $n \geq 3$ .  
The name refers to the plot of  $\pi$ , where peaks are local maxima.)
- The *peak set*  $Pk\pi$  of a permutation  $\pi$  is the set of all peaks of  $\pi$ .  
Thus,  $Pk$  is a statistic.

### Examples:

- $Pk(3, 1, 5, 2, 4) = \{3\}$ .
- $Pk(1, 3, 2, 5, 4, 6) = \{2, 4\}$ .
- $Pk(3, 2) = \{\}$ .



## Examples of permutation statistics, 2: peaks

- If  $\pi$  is an  $n$ -permutation, then a *peak* of  $\pi$  means an  $i \in \{2, 3, \dots, n-1\}$  such that  $\pi_{i-1} < \pi_i > \pi_{i+1}$ .  
(Thus, peaks can only exist if  $n \geq 3$ .  
The name refers to the plot of  $\pi$ , where peaks are local maxima.)
- The *peak set*  $\text{Pk } \pi$  of a permutation  $\pi$  is the set of all peaks of  $\pi$ .  
Thus,  $\text{Pk}$  is a statistic.

### Examples:

- $\text{Pk}(3, 1, 5, 2, 4) = \{3\}$ .
  - $\text{Pk}(1, 3, 2, 5, 4, 6) = \{2, 4\}$ .
  - $\text{Pk}(3, 2) = \{\}$ .
  - The *peak number*  $\text{pk } \pi$  of a permutation  $\pi$  is the number of all peaks of  $\pi$ : that is,  $\text{pk } \pi = |\text{Pk } \pi|$ .  
Thus,  $\text{pk}$  is a statistic.
- Example:**  $\text{pk}(3, 1, 5, 2, 4) = 1$ .

## Examples of permutation statistics, 3: left peaks

- If  $\pi$  is an  $n$ -permutation, then a *left peak* of  $\pi$  means an  $i \in \{1, 2, \dots, n-1\}$  such that  $\pi_{i-1} < \pi_i > \pi_{i+1}$ , where we set  $\pi_0 = 0$ .  
(Thus, left peaks are the same as peaks, except that 1 counts as a left peak if  $\pi_1 > \pi_2$ .)
- The *left peak set*  $\text{Lpk } \pi$  of a permutation  $\pi$  is the set of all left peaks of  $\pi$ .

Thus,  $\text{Lpk}$  is a statistic.

### Examples:

- $\text{Lpk}(3, 1, 5, 2, 4) = \{1, 3\}$ .
- $\text{Lpk}(1, 3, 2, 5, 4, 6) = \{2, 4\}$ .
- $\text{Lpk}(3, 2) = \{1\}$ .
- The *left peak number*  $\text{lpk } \pi$  of a permutation  $\pi$  is the number of all left peaks of  $\pi$ : that is,  $\text{lpk } \pi = |\text{Lpk } \pi|$ .

Thus,  $\text{lpk}$  is a statistic.

**Example:**  $\text{lpk}(3, 1, 5, 2, 4) = 2$ .

## Examples of permutation statistics, 4: right peaks

- If  $\pi$  is an  $n$ -permutation, then a *right peak* of  $\pi$  means an  $i \in \{2, 3, \dots, n\}$  such that  $\pi_{i-1} < \pi_i > \pi_{i+1}$ , where we set  $\pi_{n+1} = 0$ .  
(Thus, right peaks are the same as peaks, except that  $n$  counts as a right peak if  $\pi_{n-1} < \pi_n$ .)
- The *right peak set*  $\text{Rpk } \pi$  of a permutation  $\pi$  is the set of all right peaks of  $\pi$ .  
Thus,  $\text{Rpk}$  is a statistic.

### Examples:

- $\text{Rpk}(3, 1, 5, 2, 4) = \{3, 5\}$ .
  - $\text{Rpk}(1, 3, 2, 5, 4, 6) = \{2, 4, 6\}$ .
  - $\text{Rpk}(3, 2) = \{\}$ .
  - The *right peak number*  $\text{rpk } \pi$  of a permutation  $\pi$  is the number of all right peaks of  $\pi$ : that is,  $\text{rpk } \pi = |\text{Rpk } \pi|$ .  
Thus,  $\text{rpk}$  is a statistic.
- Example:**  $\text{rpk}(3, 1, 5, 2, 4) = 2$ .

## Examples of permutation statistics, 5: exterior peaks

- If  $\pi$  is an  $n$ -permutation, then an *exterior peak* of  $\pi$  means an  $i \in \{1, 2, \dots, n\}$  such that  $\pi_{i-1} < \pi_i > \pi_{i+1}$ , where we set  $\pi_0 = 0$  and  $\pi_{n+1} = 0$ .  
(Thus, exterior peaks are the same as peaks, except that 1 counts if  $\pi_1 > \pi_2$ , and  $n$  counts if  $\pi_{n-1} < \pi_n$ .)

- The *exterior peak set*  $\text{Epk } \pi$  of a permutation  $\pi$  is the set of all exterior peaks of  $\pi$ .

Thus,  $\text{Epk}$  is a statistic.

### Examples:

- $\text{Epk}(3, 1, 5, 2, 4) = \{1, 3, 5\}$ .
- $\text{Epk}(1, 3, 2, 5, 4, 6) = \{2, 4, 6\}$ .
- $\text{Epk}(3, 2) = \{1\}$ .
- Thus,  $\text{Epk } \pi = \text{Lpk } \pi \cup \text{Rpk } \pi$  if  $n \geq 2$ .
- The *exterior peak number*  $\text{epk } \pi$  of a permutation  $\pi$  is the number of all exterior peaks of  $\pi$ : that is,  $\text{epk } \pi = |\text{Epk } \pi|$ .  
Thus,  $\text{epk}$  is a statistic.

**Example:**  $\text{epk}(3, 1, 5, 2, 4) = 3$ .

## Shuffles of permutations

- Let  $\pi$  and  $\sigma$  be two permutations.
- We say that  $\pi$  and  $\sigma$  are *disjoint* if they have no letter in common.

## Shuffles of permutations

- Let  $\pi$  and  $\sigma$  be two permutations.
- We say that  $\pi$  and  $\sigma$  are *disjoint* if they have no letter in common.
- Assume that  $\pi$  and  $\sigma$  are disjoint. Set  $m = |\pi|$  and  $n = |\sigma|$ . An  $(m + n)$ -permutation  $\tau$  is called a *shuffle* of  $\pi$  and  $\sigma$  if both  $\pi$  and  $\sigma$  appear as subsequences of  $\tau$ . (And thus, no other letters can appear in  $\tau$ .)
- We let  $S(\pi, \sigma)$  be the set of all shuffles of  $\pi$  and  $\sigma$ .
- **Example:**

$$S((4, 1), (2, 5)) = \{(4, 1, 2, 5), (4, 2, 1, 5), (4, 2, 5, 1), \\ (2, 4, 1, 5), (2, 4, 5, 1), (2, 5, 4, 1)\}.$$

## Shuffles of permutations

- Let  $\pi$  and  $\sigma$  be two permutations.
- We say that  $\pi$  and  $\sigma$  are *disjoint* if they have no letter in common.
- Assume that  $\pi$  and  $\sigma$  are disjoint. Set  $m = |\pi|$  and  $n = |\sigma|$ . An  $(m + n)$ -permutation  $\tau$  is called a *shuffle* of  $\pi$  and  $\sigma$  if both  $\pi$  and  $\sigma$  appear as subsequences of  $\tau$ . (And thus, no other letters can appear in  $\tau$ .)
- We let  $S(\pi, \sigma)$  be the set of all shuffles of  $\pi$  and  $\sigma$ .
- **Example:**

$$S((4, 1), (2, 5)) = \{(4, 1, 2, 5), (4, 2, 1, 5), (4, 2, 5, 1), \\ (2, 4, 1, 5), (2, 4, 5, 1), (2, 5, 4, 1)\}.$$

- Observe that  $\pi$  and  $\sigma$  have  $\binom{m+n}{m}$  shuffles, in bijection with  $m$ -element subsets of  $\{1, 2, \dots, m + n\}$ .

## Shuffle-compatible statistics: definition

- A statistic  $st$  is said to be *shuffle-compatible* if for any two disjoint permutations  $\pi$  and  $\sigma$ , the multiset

$$\{st \tau \mid \tau \in S(\pi, \sigma)\}_{\text{multiset}}$$

depends only on  $st \pi$ ,  $st \sigma$ ,  $|\pi|$  and  $|\sigma|$ .



## Shuffle-compatible statistics: definition

- A statistic  $st$  is said to be *shuffle-compatible* if for any two disjoint permutations  $\pi$  and  $\sigma$ , the multiset

$$\{st \tau \mid \tau \in S(\pi, \sigma)\}_{\text{multiset}}$$

depends only on  $st \pi$ ,  $st \sigma$ ,  $|\pi|$  and  $|\sigma|$ .

- In other words,  $st$  is shuffle-compatible if and only if the distribution of  $st$  on the set  $S(\pi, \sigma)$  stays unchanged if  $\pi$  and  $\sigma$  are replaced by two other disjoint permutations of the same size and same  $st$ -values.

## Shuffle-compatible statistics: definition

- A statistic  $st$  is said to be *shuffle-compatible* if for any two disjoint permutations  $\pi$  and  $\sigma$ , the multiset

$$\{st \tau \mid \tau \in S(\pi, \sigma)\}_{\text{multiset}}$$

depends only on  $st \pi$ ,  $st \sigma$ ,  $|\pi|$  and  $|\sigma|$ .

- In other words,  $st$  is shuffle-compatible if and only if the distribution of  $st$  on the set  $S(\pi, \sigma)$  stays unchanged if  $\pi$  and  $\sigma$  are replaced by two other disjoint permutations of the same size and same  $st$ -values.

In particular, it has to stay unchanged if  $\pi$  and  $\sigma$  are replaced by two permutations order-equivalent to them: e.g.,  $st$  must have the same distribution on the three sets

$$S((4, 1), (2, 5)), \quad S((2, 1), (3, 5)), \quad S((9, 8), (2, 3)).$$

## Shuffle-compatible statistics: results of Gessel and Zhuang

- Gessel and Zhuang, in [arXiv:1706.00750](https://arxiv.org/abs/1706.00750), prove that various important statistics are shuffle-compatible (but some are not).

- Gessel and Zhuang, in [arXiv:1706.00750](https://arxiv.org/abs/1706.00750), prove that various important statistics are shuffle-compatible (but some are not).
- Statistics they show to be **shuffle-compatible**: Des, des, maj, Pk, Lpk, Rpk, lpk, rpk, epk, and various others.

## Shuffle-compatible statistics: results of Gessel and Zhuang

- Gessel and Zhuang, in [arXiv:1706.00750](https://arxiv.org/abs/1706.00750), prove that various important statistics are shuffle-compatible (but some are not).
- Statistics they show to be **shuffle-compatible**: Des, des, maj, Pk, Lpk, Rpk, lpk, rpk, epk, and various others.
- Statistics that are **not shuffle-compatible**: inv, des + maj, maj<sub>2</sub> (sending  $\pi$  to the sum of the squares of its descents), (Pk, des) (sending  $\pi$  to (Pk  $\pi$ , des  $\pi$ )), and others.

## Shuffle-compatible statistics: results of Gessel and Zhuang

- Gessel and Zhuang, in [arXiv:1706.00750](https://arxiv.org/abs/1706.00750), prove that various important statistics are shuffle-compatible (but some are not).
- Statistics they show to be **shuffle-compatible**: Des, des, maj, Pk, Lpk, Rpk, lpk, rpk, epk, and various others.
- Statistics that are **not shuffle-compatible**: inv, des + maj, maj<sub>2</sub> (sending  $\pi$  to the sum of the squares of its descents), (Pk, des) (sending  $\pi$  to (Pk  $\pi$ , des  $\pi$ )), and others.
- Their proofs use a mixture of enumerative combinatorics (including some known formulas of MacMahon, Stanley, ...), quasisymmetric functions, Hopf algebra theory, P-partitions (and variants by Stembridge and Petersen), Eulerian polynomials (based on earlier work by Zhuang, and even earlier work by Foata and Strehl).

## Shuffle-compatible statistics: results of Gessel and Zhuang

- Gessel and Zhuang, in [arXiv:1706.00750](https://arxiv.org/abs/1706.00750), prove that various important statistics are shuffle-compatible (but some are not).
- Statistics they show to be **shuffle-compatible**: Des, des, maj, Pk, Lpk, Rpk, lpk, rpk, epk, and various others.
- Statistics that are **not shuffle-compatible**: inv, des + maj, maj<sub>2</sub> (sending  $\pi$  to the sum of the squares of its descents), (Pk, des) (sending  $\pi$  to  $(Pk \pi, des \pi)$ ), and others.
- Their proofs use a mixture of enumerative combinatorics (including some known formulas of MacMahon, Stanley, ...), quasisymmetric functions, Hopf algebra theory, P-partitions (and variants by Stembridge and Petersen), Eulerian polynomials (based on earlier work by Zhuang, and even earlier work by Foata and Strehl).
- The shuffle-compatibility of Epk is left unproven in Gessel/Zhuang. Proving this is our first goal.

## Left- and right-shuffle-compatibility

- We further begin the study of a finer version of shuffle-compatibility: “left- and right-shuffle-compatibility”.
- Given two disjoint nonempty permutations  $\pi$  and  $\sigma$ ,
  - a *left shuffle* of  $\pi$  and  $\sigma$  is a shuffle of  $\pi$  and  $\sigma$  that starts with a letter of  $\pi$ ;
  - a *right shuffle* of  $\pi$  and  $\sigma$  is a shuffle of  $\pi$  and  $\sigma$  that starts with a letter of  $\sigma$ .
- We let  $S_{\prec}(\pi, \sigma)$  be the set of all left shuffles of  $\pi$  and  $\sigma$ .  
We let  $S_{\succ}(\pi, \sigma)$  be the set of all right shuffles of  $\pi$  and  $\sigma$ .



## Left- and right-shuffle-compatibility

- We further begin the study of a finer version of shuffle-compatibility: “left- and right-shuffle-compatibility”.
- Given two disjoint nonempty permutations  $\pi$  and  $\sigma$ ,
  - a *left shuffle* of  $\pi$  and  $\sigma$  is a shuffle of  $\pi$  and  $\sigma$  that starts with a letter of  $\pi$ ;
  - a *right shuffle* of  $\pi$  and  $\sigma$  is a shuffle of  $\pi$  and  $\sigma$  that starts with a letter of  $\sigma$ .
- We let  $S_{\prec}(\pi, \sigma)$  be the set of all left shuffles of  $\pi$  and  $\sigma$ . We let  $S_{\succ}(\pi, \sigma)$  be the set of all right shuffles of  $\pi$  and  $\sigma$ .
- A statistic  $st$  is said to be *left-shuffle-compatible* if for any two disjoint nonempty permutations  $\pi$  and  $\sigma$  such that

the first entry of  $\pi$  is greater than the first entry of  $\sigma$ ,

the multiset

$$\{st \tau \mid \tau \in S_{\prec}(\pi, \sigma)\}_{\text{multiset}}$$

depends only on  $st \pi$ ,  $st \sigma$ ,  $|\pi|$  and  $|\sigma|$ .

## Left- and right-shuffle-compatibility

- We further begin the study of a finer version of shuffle-compatibility: “left- and right-shuffle-compatibility”.
- Given two disjoint nonempty permutations  $\pi$  and  $\sigma$ ,
  - a *left shuffle* of  $\pi$  and  $\sigma$  is a shuffle of  $\pi$  and  $\sigma$  that starts with a letter of  $\pi$ ;
  - a *right shuffle* of  $\pi$  and  $\sigma$  is a shuffle of  $\pi$  and  $\sigma$  that starts with a letter of  $\sigma$ .
- We let  $S_{\prec}(\pi, \sigma)$  be the set of all left shuffles of  $\pi$  and  $\sigma$ . We let  $S_{\succ}(\pi, \sigma)$  be the set of all right shuffles of  $\pi$  and  $\sigma$ .
- A statistic  $st$  is said to be *right-shuffle-compatible* if for any two disjoint nonempty permutations  $\pi$  and  $\sigma$  such that the first entry of  $\pi$  is greater than the first entry of  $\sigma$ , the multiset

$$\{st \tau \mid \tau \in S_{\succ}(\pi, \sigma)\}_{\text{multiset}}$$

depends only on  $st \pi$ ,  $st \sigma$ ,  $|\pi|$  and  $|\sigma|$ .

## Left- and right-shuffle-compatibility

- We further begin the study of a finer version of shuffle-compatibility: “left- and right-shuffle-compatibility”.
- Given two disjoint nonempty permutations  $\pi$  and  $\sigma$ ,
  - a *left shuffle* of  $\pi$  and  $\sigma$  is a shuffle of  $\pi$  and  $\sigma$  that starts with a letter of  $\pi$ ;
  - a *right shuffle* of  $\pi$  and  $\sigma$  is a shuffle of  $\pi$  and  $\sigma$  that starts with a letter of  $\sigma$ .
- We let  $S_{\prec}(\pi, \sigma)$  be the set of all left shuffles of  $\pi$  and  $\sigma$ . We let  $S_{\succ}(\pi, \sigma)$  be the set of all right shuffles of  $\pi$  and  $\sigma$ .
- A statistic  $st$  is said to be *right-shuffle-compatible* if for any two disjoint nonempty permutations  $\pi$  and  $\sigma$  such that the first entry of  $\pi$  is greater than the first entry of  $\sigma$ , the multiset

$$\{st \tau \mid \tau \in S_{\succ}(\pi, \sigma)\}_{\text{multiset}}$$

depends only on  $st \pi$ ,  $st \sigma$ ,  $|\pi|$  and  $|\sigma|$ .

- We'll show that Des, des, Lpk and Epk are left- and right-shuffle-compatible.

## Section 2

---

### The algebraic approach: $\mathbb{Q}\text{Sym}$ and kernels

Reference:

- Ira M. Gessel, Yan Zhuang, *Shuffle-compatible permutation statistics*, arXiv:1706.00750.
- Darij Grinberg, Victor Reiner, *Hopf Algebras in Combinatorics*, arXiv:1409.8356, and various other texts on combinatorial Hopf algebras.

- Gessel and Zhuang prove **most** of their shuffle-compatibilities algebraically. Their methods involve combinatorial Hopf algebras (QSym and NSym).
- These methods work for **descent statistics** only. What is a descent statistic?

- Gessel and Zhuang prove **most** of their shuffle-compatibilities algebraically. Their methods involve combinatorial Hopf algebras (QSym and NSym).
- These methods work for **descent statistics** only. What is a descent statistic?
- A *descent statistic* is a statistic  $st$  such that  $st \pi$  depends only on  $|\pi|$  and  $\text{Des } \pi$  (in other words: if  $\pi$  and  $\sigma$  are two  $n$ -permutations with  $\text{Des } \pi = \text{Des } \sigma$ , then  $st \pi = st \sigma$ ).  
**Intuition:** A descent statistic is a statistic which “factors through Des in each size”.

## Compositions & descent compositions: definitions

- A *composition* is a finite list of positive integers.  
A *composition of  $n \in \mathbb{N}$*  is a composition whose entries sum to  $n$ .

## Compositions & descent compositions: definitions

- A *composition* is a finite list of positive integers.  
A *composition of  $n \in \mathbb{N}$*  is a composition whose entries sum to  $n$ .
- For example,  $(1, 3, 2)$  is a composition of 6.



## Compositions & descent compositions: definitions

- A *composition* is a finite list of positive integers.  
A *composition of  $n \in \mathbb{N}$*  is a composition whose entries sum to  $n$ .
- For example,  $(1, 3, 2)$  is a composition of 6.
- Let  $n \in \mathbb{N}$ , and let  $[n-1] = \{1, 2, \dots, n-1\}$ .

Then, there are mutually inverse bijections

$$\begin{aligned} \text{Des} : \{\text{compositions of } n\} &\rightarrow \{\text{subsets of } [n-1]\}, \\ (i_1, i_2, \dots, i_k) &\mapsto \{i_1 + i_2 + \dots + i_j \mid 1 \leq j \leq k-1\} \end{aligned}$$

and

$$\begin{aligned} \text{Comp} : \{\text{subsets of } [n-1]\} &\rightarrow \{\text{compositions of } n\}, \\ \{s_1 < s_2 < \dots < s_k\} &\mapsto (s_1 - s_0, s_2 - s_1, \dots, s_{k+1} - s_k) \end{aligned}$$

(using the notations  $s_0 = 0$  and  $s_{k+1} = n$ ).

## Compositions & descent compositions: definitions

- A *composition* is a finite list of positive integers.  
A *composition of  $n \in \mathbb{N}$*  is a composition whose entries sum to  $n$ .
- For example,  $(1, 3, 2)$  is a composition of 6.
- Let  $n \in \mathbb{N}$ , and let  $[n - 1] = \{1, 2, \dots, n - 1\}$ .  
Then, there are mutually inverse bijections Des and Comp between  $\{\text{subsets of } [n - 1]\}$  and  $\{\text{compositions of } n\}$ .  
If  $\pi$  is an  $n$ -permutation, then  $\text{Comp}(\text{Des } \pi)$  is called the *descent composition* of  $\pi$ , and is written  $\text{Comp } \pi$ .

## Compositions & descent compositions: definitions

- A *composition* is a finite list of positive integers.  
A *composition of  $n \in \mathbb{N}$*  is a composition whose entries sum to  $n$ .
- For example,  $(1, 3, 2)$  is a composition of 6.
- Let  $n \in \mathbb{N}$ , and let  $[n - 1] = \{1, 2, \dots, n - 1\}$ .  
Then, there are mutually inverse bijections Des and Comp between  $\{\text{subsets of } [n - 1]\}$  and  $\{\text{compositions of } n\}$ .  
If  $\pi$  is an  $n$ -permutation, then  $\text{Comp}(\text{Des } \pi)$  is called the *descent composition* of  $\pi$ , and is written  $\text{Comp } \pi$ .
- Thus, a descent statistic is a statistic st that factors through Comp (that is,  $\text{st } \pi$  depends only on  $\text{Comp } \pi$ ).

## Compositions & descent compositions: definitions

- A *composition* is a finite list of positive integers.  
A *composition of  $n \in \mathbb{N}$*  is a composition whose entries sum to  $n$ .
- For example,  $(1, 3, 2)$  is a composition of 6.
- Let  $n \in \mathbb{N}$ , and let  $[n - 1] = \{1, 2, \dots, n - 1\}$ .  
Then, there are mutually inverse bijections  $\text{Des}$  and  $\text{Comp}$  between  $\{\text{subsets of } [n - 1]\}$  and  $\{\text{compositions of } n\}$ .  
If  $\pi$  is an  $n$ -permutation, then  $\text{Comp}(\text{Des } \pi)$  is called the *descent composition* of  $\pi$ , and is written  $\text{Comp } \pi$ .
- Thus, a descent statistic is a statistic  $\text{st}$  that factors through  $\text{Comp}$  (that is,  $\text{st } \pi$  depends only on  $\text{Comp } \pi$ ).
- If  $\text{st}$  is a descent statistic, then we use the notation  $\text{st } \alpha$  (where  $\alpha$  is a composition) for  $\text{st } \pi$ , where  $\pi$  is any permutation with  $\text{Comp } \pi = \alpha$ .

## Compositions & descent compositions: definitions

- A *composition* is a finite list of positive integers.  
A *composition of  $n \in \mathbb{N}$*  is a composition whose entries sum to  $n$ .
- For example,  $(1, 3, 2)$  is a composition of 6.
- Let  $n \in \mathbb{N}$ , and let  $[n - 1] = \{1, 2, \dots, n - 1\}$ .  
Then, there are mutually inverse bijections  $\text{Des}$  and  $\text{Comp}$  between  $\{\text{subsets of } [n - 1]\}$  and  $\{\text{compositions of } n\}$ .  
If  $\pi$  is an  $n$ -permutation, then  $\text{Comp}(\text{Des } \pi)$  is called the *descent composition* of  $\pi$ , and is written  $\text{Comp } \pi$ .
- If  $\text{st}$  is a descent statistic, then we use the notation  $\text{st } \alpha$  (where  $\alpha$  is a composition) for  $\text{st } \pi$ , where  $\pi$  is any permutation with  $\text{Comp } \pi = \alpha$ .
- **Warning:**

$$\text{Des}((1, 5, 2) \text{ the composition}) = \{1, 6\};$$

$$\text{Des}((1, 5, 2) \text{ the permutation}) = \{2\}.$$

Same for other statistics! Context must disambiguate.

## Descent statistics: examples

- Almost all of our statistics so far are descent statistics.  
Examples:

## Descent statistics: examples

- Almost all of our statistics so far are descent statistics.  
Examples:
- Des, des and maj are descent statistics.

## Descent statistics: examples

- Almost all of our statistics so far are descent statistics.  
Examples:
- Des, des and maj are descent statistics.
- $P_k$  is a descent statistic: If  $\pi$  is an  $n$ -permutation, then

$$P_k \pi = (\text{Des } \pi) \setminus ((\text{Des } \pi \cup \{0\}) + 1),$$

where for any set  $K$  of integers and any integer  $a$  we set  
 $K + a = \{k + a \mid k \in K\}$ .

- Similarly,  $L_{pk}$ ,  $R_{pk}$  and  $E_{pk}$  are descent statistics.



## Descent statistics: examples

- Almost all of our statistics so far are descent statistics.

Examples:

- Des, des and maj are descent statistics.
- $P_k$  is a descent statistic: If  $\pi$  is an  $n$ -permutation, then

$$P_k \pi = (\text{Des } \pi) \setminus ((\text{Des } \pi \cup \{0\}) + 1),$$

where for any set  $K$  of integers and any integer  $a$  we set

$$K + a = \{k + a \mid k \in K\}.$$

- Similarly,  $L_{pk}$ ,  $R_{pk}$  and  $E_{pk}$  are descent statistics.
- inv is not a descent statistic: The permutations  $(2, 1, 3)$  and  $(3, 1, 2)$  have the same descents, but different numbers of inversions.

## Descent statistics: examples

- Almost all of our statistics so far are descent statistics.

Examples:

- Des, des and maj are descent statistics.
- $P_k$  is a descent statistic: If  $\pi$  is an  $n$ -permutation, then

$$P_k \pi = (\text{Des } \pi) \setminus ((\text{Des } \pi \cup \{0\}) + 1),$$

where for any set  $K$  of integers and any integer  $a$  we set

$$K + a = \{k + a \mid k \in K\}.$$

- Similarly,  $L_p k$ ,  $R_p k$  and  $E_p k$  are descent statistics.
- inv is not a descent statistic: The permutations  $(2, 1, 3)$  and  $(3, 1, 2)$  have the same descents, but different numbers of inversions.
- **Question (Gessel & Zhuang).** Is every shuffle-compatible statistic a descent statistic?

## Power series & symmetric functions

- Let's now talk about power series, which are crucial to the algebraic approach to shuffle-compatibility.
- Consider the ring  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$  of formal power series in countably many indeterminates.

## Power series & symmetric functions

- Let's now talk about power series, which are crucial to the algebraic approach to shuffle-compatibility.
- Consider the ring  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$  of formal power series in countably many indeterminates.
- A formal power series  $f$  is said to be *bounded-degree* if the monomials it contains are bounded (from above) in degree.

## Power series & symmetric functions

- Let's now talk about power series, which are crucial to the algebraic approach to shuffle-compatibility.
- Consider the ring  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$  of formal power series in countably many indeterminates.
- A formal power series  $f$  is said to be *bounded-degree* if the monomials it contains are bounded (from above) in degree.
- A formal power series  $f$  is said to be *symmetric* if it is invariant under permutations of the indeterminates.

Equivalently, if its coefficients in front of  $x_{i_1}^{a_1} x_{i_2}^{a_2} \cdots x_{i_k}^{a_k}$  and  $x_{j_1}^{a_1} x_{j_2}^{a_2} \cdots x_{j_k}^{a_k}$  are equal whenever  $i_1, i_2, \dots, i_k$  are distinct and  $j_1, j_2, \dots, j_k$  are distinct.

- For example:
  - $1 + x_1 + x_2^3$  is bounded-degree but not symmetric.
  - $(1 + x_1)(1 + x_2)(1 + x_3) \cdots$  is symmetric but not bounded-degree.

## Power series & symmetric functions

- Let's now talk about power series, which are crucial to the algebraic approach to shuffle-compatibility.
- Consider the ring  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$  of formal power series in countably many indeterminates.
- A formal power series  $f$  is said to be *bounded-degree* if the monomials it contains are bounded (from above) in degree.
- A formal power series  $f$  is said to be *symmetric* if it is invariant under permutations of the indeterminates.  
Equivalently, if its coefficients in front of  $x_{i_1}^{a_1} x_{i_2}^{a_2} \cdots x_{i_k}^{a_k}$  and  $x_{j_1}^{a_1} x_{j_2}^{a_2} \cdots x_{j_k}^{a_k}$  are equal whenever  $i_1, i_2, \dots, i_k$  are distinct and  $j_1, j_2, \dots, j_k$  are distinct.
- The symmetric bounded-degree power series form a subring  $\Lambda$  of  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$ , called the *ring of symmetric functions* over  $\mathbb{Q}$ . This talk is not about it.

## Quasisymmetric functions, part 1: definition

- We shall now define the quasisymmetric functions – a bigger algebra than  $\Lambda$ , but still with many of its nice properties.
- A formal power series  $f$  (still in  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$ ) is said to be *quasisymmetric* if its coefficients in front of  $x_{i_1}^{a_1} x_{i_2}^{a_2} \cdots x_{i_k}^{a_k}$  and  $x_{j_1}^{a_1} x_{j_2}^{a_2} \cdots x_{j_k}^{a_k}$  are equal whenever  $i_1 < i_2 < \cdots < i_k$  and  $j_1 < j_2 < \cdots < j_k$ .
- For example:
  - Every symmetric power series is quasisymmetric.
  - $\sum_{i < j} x_i^2 x_j = x_1^2 x_2 + x_1^2 x_3 + x_2^2 x_3 + x_1^2 x_4 + \cdots$  is quasisymmetric, but not symmetric.

## Quasisymmetric functions, part 1: definition

- We shall now define the quasisymmetric functions – a bigger algebra than  $\Lambda$ , but still with many of its nice properties.
- A formal power series  $f$  (still in  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$ ) is said to be *quasisymmetric* if its coefficients in front of  $x_{i_1}^{a_1} x_{i_2}^{a_2} \cdots x_{i_k}^{a_k}$  and  $x_{j_1}^{a_1} x_{j_2}^{a_2} \cdots x_{j_k}^{a_k}$  are equal whenever  $i_1 < i_2 < \cdots < i_k$  and  $j_1 < j_2 < \cdots < j_k$ .
- For example:
  - Every symmetric power series is quasisymmetric.
  - $\sum_{i < j} x_i^2 x_j = x_1^2 x_2 + x_1^2 x_3 + x_2^2 x_3 + x_1^2 x_4 + \cdots$  is quasisymmetric, but not symmetric.
- Let **QSym** be the set of all quasisymmetric bounded-degree power series in  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$ . This is a  $\mathbb{Q}$ -subalgebra, called the *ring of quasisymmetric functions* over  $\mathbb{Q}$ . (Gessel, 1980s.)



## Quasisymmetric functions, part 1: definition

- We shall now define the quasisymmetric functions – a bigger algebra than  $\Lambda$ , but still with many of its nice properties.
- A formal power series  $f$  (still in  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$ ) is said to be *quasisymmetric* if its coefficients in front of  $x_{i_1}^{a_1} x_{i_2}^{a_2} \cdots x_{i_k}^{a_k}$  and  $x_{j_1}^{a_1} x_{j_2}^{a_2} \cdots x_{j_k}^{a_k}$  are equal whenever  $i_1 < i_2 < \cdots < i_k$  and  $j_1 < j_2 < \cdots < j_k$ .
- For example:
  - Every symmetric power series is quasisymmetric.
  - $\sum_{i < j} x_i^2 x_j = x_1^2 x_2 + x_1^2 x_3 + x_2^2 x_3 + x_1^2 x_4 + \cdots$  is quasisymmetric, but not symmetric.
- Let **QSym** be the set of all quasisymmetric bounded-degree power series in  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$ . This is a  $\mathbb{Q}$ -subalgebra, called the *ring of quasisymmetric functions* over  $\mathbb{Q}$ . (Gessel, 1980s.)
- We have  $\Lambda \subseteq \text{QSym} \subseteq \mathbb{Q}[[x_1, x_2, x_3, \dots]]$ .

## Quasisymmetric functions, part 1: definition

- We shall now define the quasisymmetric functions – a bigger algebra than  $\Lambda$ , but still with many of its nice properties.
- A formal power series  $f$  (still in  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$ ) is said to be *quasisymmetric* if its coefficients in front of  $x_{i_1}^{a_1} x_{i_2}^{a_2} \cdots x_{i_k}^{a_k}$  and  $x_{j_1}^{a_1} x_{j_2}^{a_2} \cdots x_{j_k}^{a_k}$  are equal whenever  $i_1 < i_2 < \cdots < i_k$  and  $j_1 < j_2 < \cdots < j_k$ .
- For example:
  - Every symmetric power series is quasisymmetric.
  - $\sum_{i < j} x_i^2 x_j = x_1^2 x_2 + x_1^2 x_3 + x_2^2 x_3 + x_1^2 x_4 + \cdots$  is quasisymmetric, but not symmetric.
- Let **QSym** be the set of all quasisymmetric bounded-degree power series in  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$ . This is a  $\mathbb{Q}$ -subalgebra, called the *ring of quasisymmetric functions* over  $\mathbb{Q}$ . (Gessel, 1980s.)
- The  $\mathbb{Q}$ -vector space QSym has several combinatorial bases. We will use two of them: the monomial basis and the fundamental basis.

- For every composition  $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_k)$ , define

$$M_\alpha = \sum_{i_1 < i_2 < \dots < i_k} x_{i_1}^{\alpha_1} x_{i_2}^{\alpha_2} \dots x_{i_k}^{\alpha_k}$$

= sum of all monomials whose nonzero exponents are  $\alpha_1, \alpha_2, \dots, \alpha_k$  in **this** order.

This is a homogeneous power series of degree  $|\alpha|$  (the *size* of  $\alpha$ , defined by  $|\alpha| := \alpha_1 + \alpha_2 + \dots + \alpha_k$ ).

- Examples:

- $M_{()} = 1.$
- $M_{(1,1)} = \sum_{i < j} x_i x_j = x_1 x_2 + x_1 x_3 + x_2 x_3 + x_1 x_4 + x_2 x_4 + \dots$
- $M_{(2,1)} = \sum_{i < j} x_i^2 x_j = x_1^2 x_2 + x_1^2 x_3 + x_2^2 x_3 + \dots$
- $M_{(3)} = \sum_i x_i^3 = x_1^3 + x_2^3 + x_3^3 + \dots$

## Quasisymmetric functions, part 2: the monomial basis

- For every composition  $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_k)$ , define

$$M_\alpha = \sum_{i_1 < i_2 < \dots < i_k} x_{i_1}^{\alpha_1} x_{i_2}^{\alpha_2} \dots x_{i_k}^{\alpha_k}$$

= sum of all monomials whose nonzero exponents are  $\alpha_1, \alpha_2, \dots, \alpha_k$  in **this** order.

This is a homogeneous power series of degree  $|\alpha|$  (the *size* of  $\alpha$ , defined by  $|\alpha| := \alpha_1 + \alpha_2 + \dots + \alpha_k$ ).

- The family  $(M_\alpha)_{\alpha}$  is a composition is a basis of the  $\mathbb{Q}$ -vector space QSym, called the *monomial basis* (or *M-basis*).

- For every composition  $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_k)$ , define

$$\begin{aligned}
 F_\alpha &= \sum_{\substack{i_1 \leq i_2 \leq \dots \leq i_n; \\ i_j < i_{j+1} \text{ for all } j \in \text{Des } \alpha}} x_{i_1} x_{i_2} \cdots x_{i_n} \\
 &= \sum_{\substack{\beta \text{ is a composition of } n; \\ \text{Des } \beta \supseteq \text{Des } \alpha}} M_\beta, \quad \text{where } n = |\alpha|.
 \end{aligned}$$

This is a homogeneous power series of degree  $|\alpha|$  again.

- Examples:

- $F_{()} = 1.$
- $F_{(1,1)} = \sum_{i < j} x_i x_j = x_1 x_2 + x_1 x_3 + x_2 x_3 + x_1 x_4 + x_2 x_4 + \dots$
- $F_{(2,1)} = \sum_{i \leq j < k} x_i x_j x_k.$
- $F_{(3)} = \sum_{i \leq j \leq k} x_i x_j x_k.$

- For every composition  $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_k)$ , define

$$\begin{aligned}
 F_\alpha &= \sum_{\substack{i_1 \leq i_2 \leq \dots \leq i_n; \\ i_j < i_{j+1} \text{ for all } j \in \text{Des } \alpha}} x_{i_1} x_{i_2} \cdots x_{i_n} \\
 &= \sum_{\substack{\beta \text{ is a composition of } n; \\ \text{Des } \beta \supseteq \text{Des } \alpha}} M_\beta, \quad \text{where } n = |\alpha|.
 \end{aligned}$$

This is a homogeneous power series of degree  $|\alpha|$  again.

- The family  $(F_\alpha)_{\alpha \text{ is a composition}}$  is a basis of the  $\mathbb{Q}$ -vector space  $\text{QSym}$ , called the *fundamental basis* (or *F-basis*). Sometimes,  $F_\alpha$  is also denoted  $L_\alpha$ .

- What connects QSym with shuffles of permutations is the following fact:

**Theorem.** If  $\pi$  and  $\sigma$  are two disjoint permutations, then

$$F_{\text{Comp } \pi} \cdot F_{\text{Comp } \sigma} = \sum_{\tau \in \mathcal{S}(\pi, \sigma)} F_{\text{Comp } \tau}.$$

## The product formula for the $F_\alpha$

- What connects QSym with shuffles of permutations is the following fact:

**Theorem.** If  $\pi$  and  $\sigma$  are two disjoint permutations, then

$$F_{\text{Comp } \pi} \cdot F_{\text{Comp } \sigma} = \sum_{\tau \in \mathcal{S}(\pi, \sigma)} F_{\text{Comp } \tau}.$$

- This theorem yields that Des is shuffle-compatible. Why?



## The product formula for the $F_\alpha$

- What connects QSym with shuffles of permutations is the following fact:

**Theorem.** If  $\pi$  and  $\sigma$  are two disjoint permutations, then

$$F_{\text{Comp } \pi} \cdot F_{\text{Comp } \sigma} = \sum_{\tau \in \mathcal{S}(\pi, \sigma)} F_{\text{Comp } \tau}.$$

- This theorem yields that Des is shuffle-compatible. Why?
  - Let  $\pi, \pi', \sigma, \sigma'$  be permutations with  $|\pi| = |\pi'|$  and  $|\sigma| = |\sigma'|$  and  $\text{Des } \pi = \text{Des } \pi'$  and  $\text{Des } \sigma = \text{Des } \sigma'$ . We must prove that

$$\begin{aligned} & \{\text{Des } \tau \mid \tau \in \mathcal{S}(\pi, \sigma)\}_{\text{multiset}} \\ &= \{\text{Des } \tau \mid \tau \in \mathcal{S}(\pi', \sigma')\}_{\text{multiset}}. \end{aligned}$$

- What connects QSym with shuffles of permutations is the following fact:

**Theorem.** If  $\pi$  and  $\sigma$  are two disjoint permutations, then

$$F_{\text{Comp } \pi} \cdot F_{\text{Comp } \sigma} = \sum_{\tau \in S(\pi, \sigma)} F_{\text{Comp } \tau}.$$

- This theorem yields that Des is shuffle-compatible. Why?
  - Let  $\pi, \pi', \sigma, \sigma'$  be permutations with  $\text{Comp } \pi = \text{Comp } \pi'$  and  $\text{Comp } \sigma = \text{Comp } \sigma'$ .

We must prove that

$$\begin{aligned} & \{\text{Comp } \tau \mid \tau \in S(\pi, \sigma)\}_{\text{multiset}} \\ &= \{\text{Comp } \tau \mid \tau \in S(\pi', \sigma')\}_{\text{multiset}} \end{aligned}$$

(this is equivalent to what we just said, since  $\text{Comp } \pi$  encodes the same data as  $\text{Des } \pi$  and  $|\pi|$  together).

## The product formula for the $F_\alpha$

- What connects QSym with shuffles of permutations is the following fact:

**Theorem.** If  $\pi$  and  $\sigma$  are two disjoint permutations, then

$$F_{\text{Comp } \pi} \cdot F_{\text{Comp } \sigma} = \sum_{\tau \in \mathcal{S}(\pi, \sigma)} F_{\text{Comp } \tau}.$$

- This theorem yields that Des is shuffle-compatible. Why?
  - Let  $\pi, \pi', \sigma, \sigma'$  be permutations with  $\text{Comp } \pi = \text{Comp } \pi'$  and  $\text{Comp } \sigma = \text{Comp } \sigma'$ .

We must prove that

$$\sum_{\tau \in \mathcal{S}(\pi, \sigma)} F_{\text{Comp } \tau} = \sum_{\tau \in \mathcal{S}(\pi', \sigma')} F_{\text{Comp } \tau}$$

(this is equivalent to what we just said, since the  $F_\alpha$  for  $\alpha$  ranging over all compositions are linearly independent).

## The product formula for the $F_\alpha$

- What connects QSym with shuffles of permutations is the following fact:

**Theorem.** If  $\pi$  and  $\sigma$  are two disjoint permutations, then

$$F_{\text{Comp } \pi} \cdot F_{\text{Comp } \sigma} = \sum_{\tau \in \mathcal{S}(\pi, \sigma)} F_{\text{Comp } \tau}.$$

- This theorem yields that Des is shuffle-compatible. Why?
  - Let  $\pi, \pi', \sigma, \sigma'$  be permutations with  $\text{Comp } \pi = \text{Comp } \pi'$  and  $\text{Comp } \sigma = \text{Comp } \sigma'$ .

We must prove that

$$F_{\text{Comp } \pi} \cdot F_{\text{Comp } \sigma} = F_{\text{Comp } \pi'} \cdot F_{\text{Comp } \sigma'}$$

(this is equivalent to what we just said, by the Theorem above).

## The product formula for the $F_\alpha$

- What connects QSym with shuffles of permutations is the following fact:

**Theorem.** If  $\pi$  and  $\sigma$  are two disjoint permutations, then

$$F_{\text{Comp } \pi} \cdot F_{\text{Comp } \sigma} = \sum_{\tau \in \mathcal{S}(\pi, \sigma)} F_{\text{Comp } \tau}.$$

- This theorem yields that Des is shuffle-compatible. Why?
  - Let  $\pi, \pi', \sigma, \sigma'$  be permutations with  $\text{Comp } \pi = \text{Comp } \pi'$  and  $\text{Comp } \sigma = \text{Comp } \sigma'$ .

We must prove that

$$F_{\text{Comp } \pi} \cdot F_{\text{Comp } \sigma} = F_{\text{Comp } \pi'} \cdot F_{\text{Comp } \sigma'}$$

(this is equivalent to what we just said, by the Theorem above).

But this follows from assumptions.

## Shuffle-compatibility of $\text{des}$

- The same technique works for some other statistics. For example, we can show that  $\text{des}$  is shuffle-compatible.

- For any  $n \in \mathbb{N}$  and  $k \in \mathbb{N}$ , define the polynomial

$$f_{n,k} = x^n \binom{p - k + n}{n} \in \mathbb{Q}[p, x].$$

- For any  $n \in \mathbb{N}$  and  $k \in \mathbb{N}$ , define the polynomial

$$f_{n,k} = x^n \binom{p - k + n}{n} \in \mathbb{Q}[p, x].$$

- **Corollary (of preceding Theorem).** If  $\pi$  and  $\sigma$  are two disjoint permutations, with  $n = |\pi|$  and  $m = |\sigma|$ , then

$$f_{n, \text{des } \pi} \cdot f_{m, \text{des } \sigma} = \sum_{\tau \in \mathcal{S}(\pi, \sigma)} f_{n+m, \text{des } \tau}.$$



- For any  $n \in \mathbb{N}$  and  $k \in \mathbb{N}$ , define the polynomial

$$f_{n,k} = x^n \binom{p-k+n}{n} \in \mathbb{Q}[p, x].$$

- **Corollary (of preceding Theorem).** If  $\pi$  and  $\sigma$  are two disjoint permutations, with  $n = |\pi|$  and  $m = |\sigma|$ , then

$$f_{n, \text{des } \pi} \cdot f_{m, \text{des } \sigma} = \sum_{\tau \in S(\pi, \sigma)} f_{n+m, \text{des } \tau}.$$

- **Proof idea (from Gessel/Zhuang).** There is a  $\mathbb{Q}$ -algebra homomorphism  $\text{QSym} \rightarrow \mathbb{Q}[p, x]$  sending each  $g \in \text{QSym}$  to

$$g \left( \underbrace{x, x, \dots, x}_{p \text{ times}}, 0, 0, 0, \dots \right) \text{ (yes, this can be made sense of).}$$

This is a variant of the *(generic) principal specialization*.

- For any  $n \in \mathbb{N}$  and  $k \in \mathbb{N}$ , define the polynomial

$$f_{n,k} = x^n \binom{p - k + n}{n} \in \mathbb{Q}[p, x].$$

- **Corollary (of preceding Theorem).** If  $\pi$  and  $\sigma$  are two disjoint permutations, with  $n = |\pi|$  and  $m = |\sigma|$ , then

$$f_{n, \text{des } \pi} \cdot f_{m, \text{des } \sigma} = \sum_{\tau \in S(\pi, \sigma)} f_{n+m, \text{des } \tau}.$$

- This corollary yields that des is shuffle-compatible. Why?
  - Let  $\pi, \pi', \sigma, \sigma'$  be permutations with  $|\pi| = |\pi'|$  and  $|\sigma| = |\sigma'|$  and  $\text{des } \pi = \text{des } \pi'$  and  $\text{des } \sigma = \text{des } \sigma'$ .

We must prove that

$$\begin{aligned} & \{\text{des } \tau \mid \tau \in S(\pi, \sigma)\}_{\text{multiset}} \\ &= \{\text{des } \tau \mid \tau \in S(\pi', \sigma')\}_{\text{multiset}}. \end{aligned}$$

- For any  $n \in \mathbb{N}$  and  $k \in \mathbb{N}$ , define the polynomial

$$f_{n,k} = x^n \binom{p - k + n}{n} \in \mathbb{Q}[p, x].$$

- **Corollary (of preceding Theorem).** If  $\pi$  and  $\sigma$  are two disjoint permutations, with  $n = |\pi|$  and  $m = |\sigma|$ , then

$$f_{n, \text{des } \pi} \cdot f_{m, \text{des } \sigma} = \sum_{\tau \in S(\pi, \sigma)} f_{n+m, \text{des } \tau}.$$

- This corollary yields that des is shuffle-compatible. Why?
  - Let  $\pi, \pi', \sigma, \sigma'$  be permutations with  $|\pi| = |\pi'|$  and  $|\sigma| = |\sigma'|$  and  $\text{des } \pi = \text{des } \pi'$  and  $\text{des } \sigma = \text{des } \sigma'$ .

We must prove that

$$\sum_{\tau \in S(\pi, \sigma)} f_{n+m, \text{des } \tau} = \sum_{\tau \in S(\pi', \sigma')} f_{n+m, \text{des } \tau},$$

where  $n = |\pi| = |\pi'|$  and  $m = |\sigma| = |\sigma'|$  (this is equivalent to what we just said, since the  $f_{n,k}$  for  $n, k \in \mathbb{N}$  are linearly independent).

- For any  $n \in \mathbb{N}$  and  $k \in \mathbb{N}$ , define the polynomial

$$f_{n,k} = x^n \binom{p - k + n}{n} \in \mathbb{Q}[p, x].$$

- **Corollary (of preceding Theorem).** If  $\pi$  and  $\sigma$  are two disjoint permutations, with  $n = |\pi|$  and  $m = |\sigma|$ , then

$$f_{n, \text{des } \pi} \cdot f_{m, \text{des } \sigma} = \sum_{\tau \in S(\pi, \sigma)} f_{n+m, \text{des } \tau}.$$

- This corollary yields that des is shuffle-compatible. Why?
  - Let  $\pi, \pi', \sigma, \sigma'$  be permutations with  $|\pi| = |\pi'|$  and  $|\sigma| = |\sigma'|$  and  $\text{des } \pi = \text{des } \pi'$  and  $\text{des } \sigma = \text{des } \sigma'$ .

We must prove that

$$f_{n, \text{des } \pi} \cdot f_{m, \text{des } \sigma} = f_{n, \text{des } \pi'} \cdot f_{m, \text{des } \sigma'}$$

(this is equivalent to what we just said, by the Corollary above).

- For any  $n \in \mathbb{N}$  and  $k \in \mathbb{N}$ , define the polynomial

$$f_{n,k} = x^n \binom{p - k + n}{n} \in \mathbb{Q}[p, x].$$

- **Corollary (of preceding Theorem).** If  $\pi$  and  $\sigma$  are two disjoint permutations, with  $n = |\pi|$  and  $m = |\sigma|$ , then

$$f_{n, \text{des } \pi} \cdot f_{m, \text{des } \sigma} = \sum_{\tau \in S(\pi, \sigma)} f_{n+m, \text{des } \tau}.$$

- This corollary yields that des is shuffle-compatible. Why?
  - Let  $\pi, \pi', \sigma, \sigma'$  be permutations with  $|\pi| = |\pi'|$  and  $|\sigma| = |\sigma'|$  and  $\text{des } \pi = \text{des } \pi'$  and  $\text{des } \sigma = \text{des } \sigma'$ .

We must prove that

$$f_{n, \text{des } \pi} \cdot f_{m, \text{des } \sigma} = f_{n, \text{des } \pi'} \cdot f_{m, \text{des } \sigma'}$$

(this is equivalent to what we just said, by the Corollary above).

But this follows from assumptions.

- The above arguments can be abstracted into a general criterion for shuffle-compatibility of a descent statistic (Gessel and Zhuang, in [arXiv:1706.00750v2](#), Section 4.1).  $\text{QSym}$  and  $\mathbb{Q}[p, x]$  get replaced by a “shuffle algebra” with an algebra homomorphism from  $\text{QSym}$ .
- We shall give our own variant of the criterion.

- If  $st$  is a descent statistic, then two compositions  $\alpha$  and  $\beta$  are said to be *st-equivalent* if  $|\alpha| = |\beta|$  and  $st \alpha = st \beta$ .  
(Remember:  $st \alpha$  means  $st \pi$  for any permutation  $\pi$  satisfying  $Comp \pi = \alpha$ .)

## The kernel criterion for shuffle-compatibility, 2

- If  $st$  is a descent statistic, then two compositions  $\alpha$  and  $\beta$  are said to be *st-equivalent* if  $|\alpha| = |\beta|$  and  $st \alpha = st \beta$ .  
(Remember:  $st \alpha$  means  $st \pi$  for any permutation  $\pi$  satisfying  $\text{Comp } \pi = \alpha$ .)
- The *kernel*  $\mathcal{K}_{st}$  of a descent statistic  $st$  is the  $\mathbb{Q}$ -vector subspace of  $\text{QSym}$  spanned by all differences of the form  $F_\alpha - F_\beta$ , with  $\alpha$  and  $\beta$  being two  $st$ -equivalent compositions:

$$\mathcal{K}_{st} = \langle F_\alpha - F_\beta \mid |\alpha| = |\beta| \text{ and } st \alpha = st \beta \rangle_{\mathbb{Q}}.$$



- If  $st$  is a descent statistic, then two compositions  $\alpha$  and  $\beta$  are said to be *st-equivalent* if  $|\alpha| = |\beta|$  and  $st \alpha = st \beta$ .  
(Remember:  $st \alpha$  means  $st \pi$  for any permutation  $\pi$  satisfying  $\text{Comp } \pi = \alpha$ .)
- The *kernel*  $\mathcal{K}_{st}$  of a descent statistic  $st$  is the  $\mathbb{Q}$ -vector subspace of  $\text{QSym}$  spanned by all differences of the form  $F_\alpha - F_\beta$ , with  $\alpha$  and  $\beta$  being two  $st$ -equivalent compositions:

$$\mathcal{K}_{st} = \langle F_\alpha - F_\beta \mid |\alpha| = |\beta| \text{ and } st \alpha = st \beta \rangle_{\mathbb{Q}}.$$

- **Theorem.** The descent statistic  $st$  is shuffle-compatible if and only if  $\mathcal{K}_{st}$  is an ideal of  $\text{QSym}$ .

## Section 3

---

### The exterior peak set

References:

- Darij Grinberg, *Shuffle-compatible permutation statistics II: the exterior peak set*, draft.
- John R. Stembridge, *Enriched  $P$ -partitions*, Trans. Amer. Math. Soc. 349 (1997), no. 2, pp. 763–788.
- T. Kyle Petersen, *Enriched  $P$ -partitions and peak algebras*, Adv. in Math. 209 (2007), pp. 561–610.

## Roadmap to $E_{pk}$

- We will now outline our proof that  $E_{pk}$  is shuffle-compatible.
- The main idea is to imitate the above proof for  $Des$ , but instead of  $F_{Comp\pi}$  we'll now have some different power series (not in  $QSym$ ).

## Roadmap to $E_{pk}$

- We will now outline our proof that  $E_{pk}$  is shuffle-compatible.
- The main idea is to imitate the above proof for  $Des$ , but instead of  $F_{Comp\pi}$  we'll now have some different power series (not in  $QSym$ ).
- The idea is not new. This is how  $P_k$ ,  $L_{pk}$  and  $R_{pk}$  were proven shuffle-compatible.

- We will now outline our proof that  $E_{pk}$  is shuffle-compatible.
- The main idea is to imitate the above proof for  $\text{Des}$ , but instead of  $F_{\text{Comp}\pi}$  we'll now have some different power series (not in  $\text{QSym}$ ).
- The idea is not new. This is how  $P_k$ ,  $L_{pk}$  and  $R_{pk}$  were proven shuffle-compatible.
- The main tool is the concept of  **$\mathcal{Z}$ -enriched  $P$ -partitions**: a generalization of
  - $P$ -partitions (Stanley 1972);
  - enriched  $P$ -partitions (Stembridge 1997);
  - left enriched  $P$ -partitions (Petersen 2007),which are used in the proofs for  $\text{Des}$ ,  $P_k$  and  $L_{pk}$ , respectively.

- We will now outline our proof that  $E_{pk}$  is shuffle-compatible.
- The main idea is to imitate the above proof for  $Des$ , but instead of  $F_{Comp\pi}$  we'll now have some different power series (not in  $QSym$ ).
- The idea is not new. This is how  $P_k$ ,  $L_{pk}$  and  $R_{pk}$  were proven shuffle-compatible.
- The main tool is the concept of  **$\mathcal{Z}$ -enriched  $P$ -partitions**: a generalization of
  - $P$ -partitions (Stanley 1972);
  - enriched  $P$ -partitions (Stembridge 1997);
  - left enriched  $P$ -partitions (Petersen 2007),which are used in the proofs for  $Des$ ,  $P_k$  and  $L_{pk}$ , respectively. (Yes, the  $F_{Comp\pi} \cdot F_{Comp\sigma}$  theorem we used in proving  $Des$  follows from the theory of  $P$ -partitions.)

- We will now outline our proof that  $E_{pk}$  is shuffle-compatible.
- The main idea is to imitate the above proof for  $Des$ , but instead of  $F_{Comp\pi}$  we'll now have some different power series (not in  $QSym$ ).
- The idea is not new. This is how  $P_k$ ,  $L_{pk}$  and  $R_{pk}$  were proven shuffle-compatible.
- The main tool is the concept of  **$\mathcal{Z}$ -enriched  $P$ -partitions**: a generalization of
  - $P$ -partitions (Stanley 1972);
  - enriched  $P$ -partitions (Stembridge 1997);
  - left enriched  $P$ -partitions (Petersen 2007),which are used in the proofs for  $Des$ ,  $P_k$  and  $L_{pk}$ , respectively. (Yes, the  $F_{Comp\pi} \cdot F_{Comp\sigma}$  theorem we used in proving  $Des$  follows from the theory of  $P$ -partitions.)
- The idea is simple, but the proof has technical parts I am not showing.

- A *labeled poset* means a pair  $(P, \gamma)$  consisting of a finite poset  $P = (X, \leq)$  and an injective map  $\gamma : X \rightarrow A$  into some totally ordered set  $A$ . The injective map  $\gamma$  is called the *labeling* of the labeled poset  $(P, \gamma)$ .



## $\mathcal{N}$ and $\mathcal{Z}$ : definitions

- Fix a totally ordered set  $\mathcal{N}$ , and denote its strict order relation by  $\prec$ .
- Let  $+$  and  $-$  be two distinct symbols.  
Let  $\mathcal{Z}$  be a subset of the set  $\mathcal{N} \times \{+, -\}$ .
- **Intuition:**  $\mathcal{N}$  is a set of letters that will index our indeterminates.  
 $\mathcal{Z}$  is a set of “signed letters”, which are pairs of a letter in  $\mathcal{N}$  and a sign in  $\{+, -\}$ . (Not all such pairs must lie in  $\mathcal{Z}$ .)

## $\mathcal{N}$ and $\mathcal{Z}$ : definitions

- Fix a totally ordered set  $\mathcal{N}$ , and denote its strict order relation by  $\prec$ .
- Let  $+$  and  $-$  be two distinct symbols.  
Let  $\mathcal{Z}$  be a subset of the set  $\mathcal{N} \times \{+, -\}$ .
- **Intuition:**  $\mathcal{N}$  is a set of letters that will index our indeterminates.  
 $\mathcal{Z}$  is a set of “signed letters”, which are pairs of a letter in  $\mathcal{N}$  and a sign in  $\{+, -\}$ . (Not all such pairs must lie in  $\mathcal{Z}$ .)
- If  $n \in \mathcal{N}$ , then we will denote the two elements  $(n, +)$  and  $(n, -)$  of  $\mathcal{N} \times \{+, -\}$  by  $+n$  and  $-n$ , respectively.

## $\mathcal{N}$ and $\mathcal{Z}$ : definitions

- Fix a totally ordered set  $\mathcal{N}$ , and denote its strict order relation by  $\prec$ .
- Let  $+$  and  $-$  be two distinct symbols.  
Let  $\mathcal{Z}$  be a subset of the set  $\mathcal{N} \times \{+, -\}$ .
- **Intuition:**  $\mathcal{N}$  is a set of letters that will index our indeterminates.  
 $\mathcal{Z}$  is a set of “signed letters”, which are pairs of a letter in  $\mathcal{N}$  and a sign in  $\{+, -\}$ . (Not all such pairs must lie in  $\mathcal{Z}$ .)
- If  $n \in \mathcal{N}$ , then we will denote the two elements  $(n, +)$  and  $(n, -)$  of  $\mathcal{N} \times \{+, -\}$  by  $+n$  and  $-n$ , respectively.
- Let us totally order the set  $\mathcal{Z}$  in such a way that the (strict) order relation  $\prec$  satisfies

$$(n, s) \prec (n', s') \text{ if and only if either } n \prec n' \\ \text{or } (n = n' \text{ and } s = - \text{ and } s' = +).$$

## $\mathcal{N}$ and $\mathcal{Z}$ : definitions

- Fix a totally ordered set  $\mathcal{N}$ , and denote its strict order relation by  $\prec$ .
- Let  $+$  and  $-$  be two distinct symbols.  
Let  $\mathcal{Z}$  be a subset of the set  $\mathcal{N} \times \{+, -\}$ .
- **Intuition:**  $\mathcal{N}$  is a set of letters that will index our indeterminates.

$\mathcal{Z}$  is a set of “signed letters”, which are pairs of a letter in  $\mathcal{N}$  and a sign in  $\{+, -\}$ . (Not all such pairs must lie in  $\mathcal{Z}$ .)

- If  $n \in \mathcal{N}$ , then we will denote the two elements  $(n, +)$  and  $(n, -)$  of  $\mathcal{N} \times \{+, -\}$  by  $+n$  and  $-n$ , respectively.
- Let us totally order the set  $\mathcal{Z}$  in such a way that the (strict) order relation  $\prec$  satisfies

$$(n, s) \prec (n', s') \text{ if and only if either } n \prec n' \\ \text{or } (n = n' \text{ and } s = - \text{ and } s' = +).$$

- Let  $\text{Pow } \mathcal{N}$  be the ring of all power series over  $\mathbb{Q}$  in the indeterminates  $x_n$  for  $n \in \mathcal{N}$ .

- For an example of the setting just introduced, take  $\mathcal{N} = \mathbb{N}$  with  $\prec$  being the usual order. Then,

$$\mathcal{Z} \subseteq \mathbb{N} \times \{+, -\} = \{-0, +0, -1, +1, -2, +2, \dots\}.$$

Note:  $-0 \neq +0$ , since these are shorthands for pairs, not numbers.

- For an example of the setting just introduced, take  $\mathcal{N} = \mathbb{N}$  with  $\prec$  being the usual order. Then,

$$\mathcal{Z} \subseteq \mathbb{N} \times \{+, -\} = \{-0, +0, -1, +1, -2, +2, \dots\}.$$

Note:  $-0 \neq +0$ , since these are shorthands for pairs, not numbers.

- The total order  $\prec$  on  $\mathcal{Z}$  is the restriction of

$$-0 \prec +0 \prec -1 \prec +1 \prec -2 \prec +2 \prec \dots .$$

- For an example of the setting just introduced, take  $\mathcal{N} = \mathbb{N}$  with  $\prec$  being the usual order. Then,

$$\mathcal{Z} \subseteq \mathbb{N} \times \{+, -\} = \{-0, +0, -1, +1, -2, +2, \dots\}.$$

Note:  $-0 \neq +0$ , since these are shorthands for pairs, not numbers.

- The total order  $\prec$  on  $\mathcal{Z}$  is the restriction of

$$-0 \prec +0 \prec -1 \prec +1 \prec -2 \prec +2 \prec \dots.$$

- $\text{Pow } \mathcal{N} = \mathbb{Q}[[x_0, x_1, x_2, \dots]]$ .

## $\mathcal{Z}$ -enriched $(P, \gamma)$ -partitions: definition

- Now, let  $(P, \gamma)$  be a labeled poset. A  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partition means a map  $f : P \rightarrow \mathcal{Z}$  such that for all  $x < y$  in  $P$ , the following conditions hold:
  - (i) We have  $f(x) \preccurlyeq f(y)$ .
  - (ii) If  $f(x) = f(y) = +n$  for some  $n \in \mathcal{N}$ , then  $\gamma(x) < \gamma(y)$ .
  - (iii) If  $f(x) = f(y) = -n$  for some  $n \in \mathcal{N}$ , then  $\gamma(x) > \gamma(y)$ .(Keep in mind:  $\mathcal{N}$  and  $\mathcal{Z}$  are fixed.)



## $\mathcal{Z}$ -enriched $(P, \gamma)$ -partitions: definition

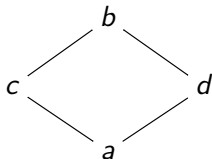
- Now, let  $(P, \gamma)$  be a labeled poset. A  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partition means a map  $f : P \rightarrow \mathcal{Z}$  such that for all  $x < y$  in  $P$ , the following conditions hold:

- (i) We have  $f(x) \preceq f(y)$ .
- (ii) If  $f(x) = f(y) = +n$  for some  $n \in \mathcal{N}$ , then  $\gamma(x) < \gamma(y)$ .
- (iii) If  $f(x) = f(y) = -n$  for some  $n \in \mathcal{N}$ , then  $\gamma(x) > \gamma(y)$ .

(Keep in mind:  $\mathcal{N}$  and  $\mathcal{Z}$  are fixed.)

- **(Attempt at) intuition:** A  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partition is a map  $f : P \rightarrow \mathcal{Z}$  (that is, assigning a signed letter to each poset element) which
  - (i) is weakly increasing on  $P$ ;
  - (ii) + (iii) is occasionally strictly increasing, when  $\gamma$  and the sign of the  $f$ -value “are out of alignment”.

- Let  $P$  be the poset with the following Hasse diagram:



and let  $\gamma : P \rightarrow \mathbb{Z}$  be a labeling that satisfies  $\gamma(a) < \gamma(b) < \gamma(c) < \gamma(d)$  (for example,  $\gamma$  could be the map that sends  $a, b, c, d$  to  $2, 3, 5, 7$ , respectively). Then, a  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partition is a map  $f : P \rightarrow \mathcal{Z}$  satisfying the following conditions:

- (i) We have  $f(a) \preceq f(c) \preceq f(b)$  and  $f(a) \preceq f(d) \preceq f(b)$ .
- (ii) We cannot have  $f(c) = f(b) = +n$  with  $n \in \mathcal{N}$ . Also, we cannot have  $f(d) = f(b) = +n$  with  $n \in \mathcal{N}$ .
- (iii) We cannot have  $f(a) = f(c) = -n$  with  $n \in \mathcal{N}$ . Also, we cannot have  $f(a) = f(d) = -n$  with  $n \in \mathcal{N}$ .

- Consider again the case when  $\mathcal{N} = \mathbb{N}$  with  $\prec$  being the usual order. Let us see what  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partitions are, depending on  $\mathcal{Z}$ .

- Consider again the case when  $\mathcal{N} = \mathbb{N}$  with  $\prec$  being the usual order. Let us see what  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partitions are, depending on  $\mathcal{Z}$ .
- If  $\mathcal{Z} = \mathbb{N} \times \{+\} = \{+0 \prec +1 \prec +2 \prec \dots\}$ , then the  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partitions are just the (usual)  $(P, \gamma)$ -partitions into  $\mathbb{N}$  (up to renaming  $n$  as  $+n$ ).

- Consider again the case when  $\mathcal{N} = \mathbb{N}$  with  $\prec$  being the usual order. Let us see what  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partitions are, depending on  $\mathcal{Z}$ .
- If  $\mathcal{Z} = \mathbb{N} \times \{+\} = \{+0 \prec +1 \prec +2 \prec \dots\}$ , then the  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partitions are just the (usual)  $(P, \gamma)$ -partitions into  $\mathbb{N}$  (up to renaming  $n$  as  $+n$ ).
- If  $\mathcal{Z} = \mathbb{N} \times \{+, -\} = \{-0 \prec +0 \prec -1 \prec +1 \prec -2 \prec +2 \prec \dots\}$ , then the  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partitions are Stembridge's enriched  $(P, \gamma)$ -partitions (up to renaming  $n$  as  $n - 1$ ).

- Consider again the case when  $\mathcal{N} = \mathbb{N}$  with  $\prec$  being the usual order. Let us see what  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partitions are, depending on  $\mathcal{Z}$ .
- If  $\mathcal{Z} = \mathbb{N} \times \{+\} = \{+0 \prec +1 \prec +2 \prec \dots\}$ , then the  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partitions are just the (usual)  $(P, \gamma)$ -partitions into  $\mathbb{N}$  (up to renaming  $n$  as  $+n$ ).
- If  $\mathcal{Z} = \mathbb{N} \times \{+, -\} = \{-0 \prec +0 \prec -1 \prec +1 \prec -2 \prec +2 \prec \dots\}$ , then the  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partitions are Stembridge's enriched  $(P, \gamma)$ -partitions (up to renaming  $n$  as  $n - 1$ ).
- If  $\mathcal{Z} = (\mathbb{N} \times \{+, -\}) \setminus \{-0\} = \{+0 \prec -1 \prec +1 \prec -2 \prec +2 \prec \dots\}$ , then the  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partitions are Petersen's left enriched  $(P, \gamma)$ -partitions.

- Consider again the case when  $\mathcal{N} = \mathbb{N}$  with  $\prec$  being the usual order. Let us see what  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partitions are, depending on  $\mathcal{Z}$ .
- If  $\mathcal{Z} = \mathbb{N} \times \{+\} = \{+0 \prec +1 \prec +2 \prec \dots\}$ , then the  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partitions are just the (usual)  $(P, \gamma)$ -partitions into  $\mathbb{N}$  (up to renaming  $n$  as  $+n$ ).
- If  $\mathcal{Z} = \mathbb{N} \times \{+, -\} = \{-0 \prec +0 \prec -1 \prec +1 \prec -2 \prec +2 \prec \dots\}$ , then the  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partitions are Stembridge's enriched  $(P, \gamma)$ -partitions (up to renaming  $n$  as  $n - 1$ ).
- If  $\mathcal{Z} = (\mathbb{N} \times \{+, -\}) \setminus \{-0\} = \{+0 \prec -1 \prec +1 \prec -2 \prec +2 \prec \dots\}$ , then the  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partitions are Petersen's left enriched  $(P, \gamma)$ -partitions.
- We shall later focus on the case when  $\mathcal{N} = \mathbb{N} \cup \{\infty\}$  and  $\mathcal{Z} = (\mathcal{N} \times \{+, -\}) \setminus \{-0, +\infty\}$ .

- A few more notations are needed.
- If  $(P, \gamma)$  is a labeled poset, then  $\mathcal{E}(P, \gamma)$  shall denote the set of all  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partitions.



- A few more notations are needed.
- If  $(P, \gamma)$  is a labeled poset, then  $\mathcal{E}(P, \gamma)$  shall denote the set of all  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partitions.
- If  $P$  is any poset, then  $\mathcal{L}(P)$  shall denote the set of all linear extensions of  $P$ .

A linear extension of  $P$  shall be understood simultaneously as a totally ordered set extending  $P$  and as a list  $(w_1, w_2, \dots, w_n)$  of all elements of  $P$  such that no two integers  $i < j$  satisfy  $w_i \geq w_j$  in  $P$ .

- **Proposition.** For any labeled poset  $(P, \gamma)$ , we have

$$\mathcal{E}(P, \gamma) = \bigsqcup_{w \in \mathcal{L}(P)} \mathcal{E}(w, \gamma).$$

- This is a generalization of a standard result on  $P$ -partitions (“Stanley’s main lemma”), and is proven by the same reasoning.

## The power series $\Gamma_{\mathcal{Z}}(P, \gamma)$

- Let  $(P, \gamma)$  be a labeled poset. We define a power series  $\Gamma_{\mathcal{Z}}(P, \gamma) \in \text{Pow } \mathcal{N}$  by

$$\Gamma_{\mathcal{Z}}(P, \gamma) = \sum_{f \in \mathcal{E}(P, \gamma)} \prod_{p \in P} x_{|f(p)|}.$$

Here,  $|f(p)| \in \mathcal{N}$  is defined to be the first entry of  $f(p)$  (recall:  $f(p)$  is a pair of an element of  $\mathcal{N}$  and a sign in  $\{+, -\}$ ).

## The power series $\Gamma_{\mathcal{Z}}(P, \gamma)$

- Let  $(P, \gamma)$  be a labeled poset. We define a power series  $\Gamma_{\mathcal{Z}}(P, \gamma) \in \text{Pow } \mathcal{N}$  by

$$\Gamma_{\mathcal{Z}}(P, \gamma) = \sum_{f \in \mathcal{E}(P, \gamma)} \prod_{p \in P} x_{|f(p)|}.$$

Here,  $|f(p)| \in \mathcal{N}$  is defined to be the first entry of  $f(p)$  (recall:  $f(p)$  is a pair of an element of  $\mathcal{N}$  and a sign in  $\{+, -\}$ ).

- This generalizes the classical quasisymmetric  $P$ -partition enumerators (which give the fundamental basis  $F_{\alpha}$  when  $P$  is totally ordered).

## The power series $\Gamma_{\mathcal{Z}}(P, \gamma)$

- Let  $(P, \gamma)$  be a labeled poset. We define a power series  $\Gamma_{\mathcal{Z}}(P, \gamma) \in \text{Pow } \mathcal{N}$  by

$$\Gamma_{\mathcal{Z}}(P, \gamma) = \sum_{f \in \mathcal{E}(P, \gamma)} \prod_{p \in P} x_{|f(p)|}.$$

Here,  $|f(p)| \in \mathcal{N}$  is defined to be the first entry of  $f(p)$  (recall:  $f(p)$  is a pair of an element of  $\mathcal{N}$  and a sign in  $\{+, -\}$ ).

- This generalizes the classical quasisymmetric  $P$ -partition enumerators (which give the fundamental basis  $F_{\alpha}$  when  $P$  is totally ordered).
- Corollary.** For any labeled poset  $(P, \gamma)$ , we have

$$\Gamma_{\mathcal{Z}}(P, \gamma) = \sum_{w \in \mathcal{L}(P)} \Gamma_{\mathcal{Z}}(w, \gamma).$$

## The power series $\Gamma_{\mathcal{Z}}(P, \gamma)$

- Let  $(P, \gamma)$  be a labeled poset. We define a power series  $\Gamma_{\mathcal{Z}}(P, \gamma) \in \text{Pow } \mathcal{N}$  by

$$\Gamma_{\mathcal{Z}}(P, \gamma) = \sum_{f \in \mathcal{E}(P, \gamma)} \prod_{p \in P} x_{|f(p)|}.$$

Here,  $|f(p)| \in \mathcal{N}$  is defined to be the first entry of  $f(p)$  (recall:  $f(p)$  is a pair of an element of  $\mathcal{N}$  and a sign in  $\{+, -\}$ ).

- This generalizes the classical quasisymmetric  $P$ -partition enumerators (which give the fundamental basis  $F_{\alpha}$  when  $P$  is totally ordered).
- Question.** Where do these  $\Gamma_{\mathcal{Z}}(P, \gamma)$  live (other than in  $\text{Pow } \mathcal{N}$ ) ?

I don't know a good answer; it should be a generalization of  $\text{QSym}$ .

Jia Huang's work ([arXiv:1506.02962v2](https://arxiv.org/abs/1506.02962v2)) looks relevant.

- Let  $P$  be any set. Let  $A$  be a totally ordered set. Let  $\gamma : P \rightarrow A$  and  $\delta : P \rightarrow A$  be two maps. We say that  $\gamma$  and  $\delta$  are *order-equivalent* if the following holds: For every pair  $(p, q) \in P \times P$ , we have  $\gamma(p) \leq \gamma(q)$  if and only if  $\delta(p) \leq \delta(q)$ .

- Let  $P$  be any set. Let  $A$  be a totally ordered set. Let  $\gamma : P \rightarrow A$  and  $\delta : P \rightarrow A$  be two maps. We say that  $\gamma$  and  $\delta$  are *order-equivalent* if the following holds: For every pair  $(p, q) \in P \times P$ , we have  $\gamma(p) \leq \gamma(q)$  if and only if  $\delta(p) \leq \delta(q)$ .
- **Proposition.** Let  $(P, \gamma)$  and  $(Q, \delta)$  be two labeled posets. Let  $(P \sqcup Q, \varepsilon)$  be the labeled poset
  - for which  $P \sqcup Q$  is the disjoint union of  $P$  and  $Q$ , and
  - whose labeling  $\varepsilon$  is such that the restriction of  $\varepsilon$  to  $P$  is order-equivalent to  $\gamma$  and such that the restriction of  $\varepsilon$  to  $Q$  is order-equivalent to  $\delta$ .

Then,

$$\Gamma_{\mathcal{Z}}(P, \gamma) \cdot \Gamma_{\mathcal{Z}}(Q, \delta) = \Gamma_{\mathcal{Z}}(P \sqcup Q, \varepsilon).$$

- Again, the proof is simple.



## From a permutation to a labeled poset

- Let  $n \in \mathbb{N}$ . Write  $[n]$  for  $\{1, 2, \dots, n\}$ .

Let  $\pi$  be any  $n$ -permutation. Consider  $\pi$  as an injective map  $[n] \rightarrow \{1, 2, 3, \dots\}$  (sending  $i$  to  $\pi_i$ ). Thus,  $([n], \pi)$  is a labeled poset. We define  $\Gamma_{\mathcal{Z}}(\pi)$  to be the power series  $\Gamma_{\mathcal{Z}}([n], \pi)$ .

## From a permutation to a labeled poset

- Let  $n \in \mathbb{N}$ . Write  $[n]$  for  $\{1, 2, \dots, n\}$ .

Let  $\pi$  be any  $n$ -permutation. Consider  $\pi$  as an injective map  $[n] \rightarrow \{1, 2, 3, \dots\}$  (sending  $i$  to  $\pi_i$ ). Thus,  $([n], \pi)$  is a labeled poset. We define  $\Gamma_{\mathcal{Z}}(\pi)$  to be the power series  $\Gamma_{\mathcal{Z}}([n], \pi)$ .

- Explicitly:

$$\Gamma_{\mathcal{Z}}(\pi) = \sum x_{|j_1|} x_{|j_2|} \cdots x_{|j_n|},$$

where the sum is over all  $n$ -tuples  $(j_1, j_2, \dots, j_n) \in \mathcal{Z}^n$  having the properties that:

- (i)  $j_1 \preceq j_2 \preceq \cdots \preceq j_n$ ;
  - (ii) if  $j_k = j_{k+1} = +s$  for some  $s \in \mathcal{N}$ , then  $\pi_k < \pi_{k+1}$ ;
  - (iii) if  $j_k = j_{k+1} = -s$  for some  $s \in \mathcal{N}$ , then  $\pi_k > \pi_{k+1}$ .
- This  $\Gamma_{\mathcal{Z}}(\pi)$  will serve as an analogue of  $F_{\text{Comp } \pi}$ .

## From a permutation to a labeled poset

- Let  $n \in \mathbb{N}$ . Write  $[n]$  for  $\{1, 2, \dots, n\}$ .  
Let  $\pi$  be any  $n$ -permutation. Consider  $\pi$  as an injective map  $[n] \rightarrow \{1, 2, 3, \dots\}$  (sending  $i$  to  $\pi_i$ ). Thus,  $([n], \pi)$  is a labeled poset. We define  $\Gamma_{\mathcal{Z}}(\pi)$  to be the power series  $\Gamma_{\mathcal{Z}}([n], \pi)$ .
- **Proposition.** Let  $w$  be a finite totally ordered set with ground set  $W$ . Let  $n = |W|$ . Let  $\bar{w}$  be the unique poset isomorphism  $w \rightarrow [n]$ . Let  $\gamma : W \rightarrow \{1, 2, 3, \dots\}$  be any injective map. Then,  $\Gamma_{\mathcal{Z}}(w, \gamma) = \Gamma_{\mathcal{Z}}(\gamma \circ \bar{w}^{-1})$ .
- Again, this follows the roadmap of classical  $P$ -partition theory.

## From a permutation to a labeled poset

- Let  $n \in \mathbb{N}$ . Write  $[n]$  for  $\{1, 2, \dots, n\}$ .  
Let  $\pi$  be any  $n$ -permutation. Consider  $\pi$  as an injective map  $[n] \rightarrow \{1, 2, 3, \dots\}$  (sending  $i$  to  $\pi_i$ ). Thus,  $([n], \pi)$  is a labeled poset. We define  $\Gamma_{\mathcal{Z}}(\pi)$  to be the power series  $\Gamma_{\mathcal{Z}}([n], \pi)$ .
- **Proposition.** Let  $w$  be a finite totally ordered set with ground set  $W$ . Let  $n = |W|$ . Let  $\bar{w}$  be the unique poset isomorphism  $w \rightarrow [n]$ . Let  $\gamma : W \rightarrow \{1, 2, 3, \dots\}$  be any injective map. Then,  $\Gamma_{\mathcal{Z}}(w, \gamma) = \Gamma_{\mathcal{Z}}(\gamma \circ \bar{w}^{-1})$ .
- Again, this follows the roadmap of classical  $P$ -partition theory.
- **Corollary.** Let  $(P, \gamma)$  be a labeled poset. Let  $n = |P|$ . Then,

$$\Gamma_{\mathcal{Z}}(P, \gamma) = \sum_{\substack{x: P \rightarrow [n] \\ \text{bijective poset} \\ \text{homomorphism}}} \Gamma_{\mathcal{Z}}(\gamma \circ x^{-1}).$$

## From a permutation to a labeled poset

- Let  $n \in \mathbb{N}$ . Write  $[n]$  for  $\{1, 2, \dots, n\}$ .  
Let  $\pi$  be any  $n$ -permutation. Consider  $\pi$  as an injective map  $[n] \rightarrow \{1, 2, 3, \dots\}$  (sending  $i$  to  $\pi_i$ ). Thus,  $([n], \pi)$  is a labeled poset. We define  $\Gamma_{\mathcal{Z}}(\pi)$  to be the power series  $\Gamma_{\mathcal{Z}}([n], \pi)$ .
- **Proposition.** Let  $w$  be a finite totally ordered set with ground set  $W$ . Let  $n = |W|$ . Let  $\bar{w}$  be the unique poset isomorphism  $w \rightarrow [n]$ . Let  $\gamma : W \rightarrow \{1, 2, 3, \dots\}$  be any injective map. Then,  $\Gamma_{\mathcal{Z}}(w, \gamma) = \Gamma_{\mathcal{Z}}(\gamma \circ \bar{w}^{-1})$ .
- Again, this follows the roadmap of classical  $P$ -partition theory.
- **Corollary.** Let  $(P, \gamma)$  be a labeled poset. Let  $n = |P|$ . Then,

$$\Gamma_{\mathcal{Z}}(P, \gamma) = \sum_{\substack{x: P \rightarrow [n] \\ \text{bijective poset} \\ \text{homomorphism}}} \Gamma_{\mathcal{Z}}(\gamma \circ x^{-1}).$$

- Thus, the  $\Gamma_{\mathcal{Z}}$  of any labeled poset can be described in terms of the  $\Gamma_{\mathcal{Z}}(\pi)$ .

- Combining the above results, we see:

**Theorem.** Let  $\pi$  and  $\sigma$  be two disjoint permutations. Then,

$$\Gamma_{\mathcal{Z}}(\pi) \cdot \Gamma_{\mathcal{Z}}(\sigma) = \sum_{\tau \in \mathcal{S}(\pi, \sigma)} \Gamma_{\mathcal{Z}}(\tau).$$

## The product formula for the $\Gamma_{\mathcal{Z}}(P, \gamma)$

- Combining the above results, we see:

**Theorem.** Let  $\pi$  and  $\sigma$  be two disjoint permutations. Then,

$$\Gamma_{\mathcal{Z}}(\pi) \cdot \Gamma_{\mathcal{Z}}(\sigma) = \sum_{\tau \in S(\pi, \sigma)} \Gamma_{\mathcal{Z}}(\tau).$$

- This generalizes the

$$F_{\text{Comp } \pi} \cdot F_{\text{Comp } \sigma} = \sum_{\tau \in S(\pi, \sigma)} F_{\text{Comp } \tau}$$

formula in QSym (which you can recover by setting  $\mathcal{N} = \mathbb{N}$  and  $\mathcal{Z} = \mathbb{N} \times \{+\} = \{+0 \prec +1 \prec +2 \prec \dots\}$ ).

- Likewise, you can recover similar results by Stembridge and Petersen from this.

- Remember: we want to show  $E_{pk}$  is shuffle-compatible.
- Specialize the above setting as follows:
  - Set  $\mathcal{N} = \{0, 1, 2, \dots\} \cup \{\infty\}$ , with total order given by  $0 \prec 1 \prec 2 \prec \dots \prec \infty$ .
  - Set

$$\begin{aligned}\mathcal{Z} &= (\mathcal{N} \times \{+, -\}) \setminus \{-0, +\infty\} \\ &= \{+0\} \cup \{+n \mid n \in \{1, 2, 3, \dots\}\} \\ &\quad \cup \{-n \mid n \in \{1, 2, 3, \dots\}\} \cup \{-\infty\}.\end{aligned}$$

Recall that the total order on  $\mathcal{Z}$  has

$$+0 \prec -1 \prec +1 \prec -2 \prec +2 \prec \dots \prec -\infty.$$



- Let  $n \in \mathbb{N}$ . Let  $g : [n] \rightarrow \mathcal{N}$  be any map. We define a subset  $\text{FE}(g)$  of  $[n]$  by

$$\text{FE}(g) = \{ \min(g^{-1}(h)) \mid h \in \{1, 2, 3, \dots, \infty\} \} \\ \cup \{ \max(g^{-1}(h)) \mid h \in \{0, 1, 2, 3, \dots\} \}$$

(ignore the maxima/minima of empty fibers).

In other words,  $\text{FE}(g)$  is the set comprising

- the smallest elements of all nonempty fibers of  $g$  except for  $g^{-1}(0)$  as well as
- the largest elements of all nonempty fibers of  $g$  except for  $g^{-1}(\infty)$ .

- Let  $n \in \mathbb{N}$ . If  $\Lambda$  (no connection to symmetric functions) is any subset of  $[n]$ , then we define a power series  $K_{n,\Lambda}^{\mathcal{Z}} \in \text{Pow } \mathcal{N}$  by

$$K_{n,\Lambda}^{\mathcal{Z}} = \sum_{\substack{g:[n] \rightarrow \mathcal{N} \text{ is} \\ \text{weakly increasing;} \\ \Lambda \subseteq \text{FE}(g)}} 2^{|g([n]) \cap \{1,2,3,\dots\}|} x_{g(1)} x_{g(2)} \cdots x_{g(n)}.$$

- Proposition.** Let  $n \in \mathbb{N}$ . Let  $\pi$  be an  $n$ -permutation. Then,

$$\Gamma_{\mathcal{Z}}(\pi) = K_{n, \text{Epk } \pi}^{\mathcal{Z}}.$$

This is proven by a counting argument (if a map  $g$  comes from an  $([n], \pi)$ -partition, then the fibers of  $g$  subdivide  $[n]$  into intervals on which  $\pi$  is “V-shaped”; a peak can only occur at a border between two such intervals).

- Let  $n \in \mathbb{N}$ . If  $\Lambda$  (no connection to symmetric functions) is any subset of  $[n]$ , then we define a power series  $K_{n,\Lambda}^{\mathcal{Z}} \in \text{Pow } \mathcal{N}$  by

$$K_{n,\Lambda}^{\mathcal{Z}} = \sum_{\substack{g:[n] \rightarrow \mathcal{N} \text{ is} \\ \text{weakly increasing;} \\ \Lambda \subseteq \text{FE}(g)}} 2^{|g([n]) \cap \{1,2,3,\dots\}|} x_{g(1)} x_{g(2)} \cdots x_{g(n)}.$$

- Proposition.** Let  $n \in \mathbb{N}$ . Let  $\pi$  be an  $n$ -permutation. Then,

$$\Gamma_{\mathcal{Z}}(\pi) = K_{n, \text{Epk } \pi}^{\mathcal{Z}}.$$

- Thus, the product formula above specializes to

$$K_{n, \text{Epk } \pi}^{\mathcal{Z}} \cdot K_{m, \text{Epk } \sigma}^{\mathcal{Z}} = \sum_{\tau \in \mathcal{S}(\pi, \sigma)} K_{n+m, \text{Epk } \tau}^{\mathcal{Z}}.$$

- This formula is used to show that Epk is shuffle-compatible, but we need a bit more: we need to show that the “relevant”  $K_{n,\Lambda}^{\mathcal{Z}}$  are linearly independent.

- Let  $n \in \mathbb{N}$ . If  $\Lambda$  (no connection to symmetric functions) is any subset of  $[n]$ , then we define a power series  $K_{n,\Lambda}^{\mathcal{Z}} \in \text{Pow } \mathcal{N}$  by

$$K_{n,\Lambda}^{\mathcal{Z}} = \sum_{\substack{g:[n] \rightarrow \mathcal{N} \text{ is} \\ \text{weakly increasing;} \\ \Lambda \subseteq \text{FE}(g)}} 2^{|g([n]) \cap \{1,2,3,\dots\}|} x_{g(1)} x_{g(2)} \cdots x_{g(n)}.$$

- Proposition.** Let  $n \in \mathbb{N}$ . Let  $\pi$  be an  $n$ -permutation. Then,

$$\Gamma_{\mathcal{Z}}(\pi) = K_{n, \text{Epk } \pi}^{\mathcal{Z}}.$$

- Thus, the product formula above specializes to

$$K_{n, \text{Epk } \pi}^{\mathcal{Z}} \cdot K_{m, \text{Epk } \sigma}^{\mathcal{Z}} = \sum_{\tau \in \mathcal{S}(\pi, \sigma)} K_{n+m, \text{Epk } \tau}^{\mathcal{Z}}.$$

- This formula is used to show that  $\text{Epk}$  is shuffle-compatible, but we need a bit more: we need to show that the “relevant”  $K_{n,\Lambda}^{\mathcal{Z}}$  are linearly independent.
- Not all  $K_{n,\Lambda}^{\mathcal{Z}}$  are linearly independent. Rather, we need to pick the right subset.

## Lacunar subsets and linear independence

- A set  $S$  of integers is called *lacunar* if it contains no two consecutive integers.
- **Well-known fact:** The number of lacunar subsets of  $[n]$  is the Fibonacci number  $f_{n+1}$ .

- A set  $S$  of integers is called *lacunar* if it contains no two consecutive integers.
- **Well-known fact:** The number of lacunar subsets of  $[n]$  is the Fibonacci number  $f_{n+1}$ .
- **Lemma.** For each permutation  $\pi$ , the set  $\text{Epk } \pi$  is a nonempty lacunar subset of  $[n]$ .  
(And conversely – although we won't need it –, any such subset has the form  $\text{Epk } \pi$  for some  $\pi$ .)

- A set  $S$  of integers is called *lacunar* if it contains no two consecutive integers.
- **Well-known fact:** The number of lacunar subsets of  $[n]$  is the Fibonacci number  $f_{n+1}$ .
- **Lemma.** For each permutation  $\pi$ , the set  $\text{Epk } \pi$  is a nonempty lacunar subset of  $[n]$ .  
(And conversely – although we won't need it –, any such subset has the form  $\text{Epk } \pi$  for some  $\pi$ .)
- **Lemma.** The family

$$\left( K_{n,\Lambda}^{\mathbb{Z}} \right)_{n \in \mathbb{N}; \Lambda \subseteq [n] \text{ is lacunar and nonempty}}$$

is  $\mathbb{Q}$ -linearly independent.

- This actually takes work to prove. But once proven, it completes the argument for the shuffle-compatibility of  $\text{Epk}$ .

- Recall: The *kernel*  $\mathcal{K}_{\text{st}}$  of a descent statistic  $\text{st}$  is the  $\mathbb{Q}$ -vector subspace of  $\text{QSym}$  spanned by all differences of the form  $F_\alpha - F_\beta$ , with  $\alpha$  and  $\beta$  being two  $\text{st}$ -equivalent compositions:

$$\mathcal{K}_{\text{st}} = \langle F_\alpha - F_\beta \mid |\alpha| = |\beta| \text{ and } \text{st } \alpha = \text{st } \beta \rangle_{\mathbb{Q}}.$$



- Recall: The *kernel*  $\mathcal{K}_{\text{st}}$  of a descent statistic  $\text{st}$  is the  $\mathbb{Q}$ -vector subspace of  $\text{QSym}$  spanned by all differences of the form  $F_\alpha - F_\beta$ , with  $\alpha$  and  $\beta$  being two  $\text{st}$ -equivalent compositions:

$$\mathcal{K}_{\text{st}} = \langle F_\alpha - F_\beta \mid |\alpha| = |\beta| \text{ and } \text{st } \alpha = \text{st } \beta \rangle_{\mathbb{Q}}.$$

- Since  $\text{Epk}$  is shuffle-compatible, its kernel  $\mathcal{K}_{\text{Epk}}$  is an ideal of  $\text{QSym}$ . How can we describe it?
- Two ways: using the  $F$ -basis and using the  $M$ -basis.

## The kernel $\mathcal{K}_{\text{Epk}}$ in terms of the $F$ -basis

- If  $J = (j_1, j_2, \dots, j_m)$  and  $K$  are two compositions, then we write  $J \rightarrow K$  if there exists an  $\ell \in \{2, 3, \dots, m\}$  such that  $j_\ell > 2$  and  $K = (j_1, j_2, \dots, j_{\ell-1}, 1, j_\ell - 1, j_{\ell+1}, j_{\ell+2}, \dots, j_m)$ . (In other words, we write  $J \rightarrow K$  if  $K$  can be obtained from  $J$  by “splitting” some non-initial entry  $j_\ell > 2$  into two consecutive entries 1 and  $j_\ell - 1$ .)
- **Example.** Here are all instances of the  $\rightarrow$  relation on compositions of size  $\leq 5$ :

$$\begin{aligned}(1, 3) &\rightarrow (1, 1, 2), & (1, 4) &\rightarrow (1, 1, 3), \\(1, 3, 1) &\rightarrow (1, 1, 2, 1), & (1, 1, 3) &\rightarrow (1, 1, 1, 2), \\(2, 3) &\rightarrow (2, 1, 2).\end{aligned}$$

- **Proposition.** The ideal  $\mathcal{K}_{\text{Epk}}$  of  $\text{QSym}$  is spanned (as a  $\mathbb{Q}$ -vector space) by all differences of the form  $F_J - F_K$ , where  $J$  and  $K$  are two compositions satisfying  $J \rightarrow K$ .

## The kernel $\mathcal{K}_{\text{EpK}}$ in terms of the $M$ -basis

- If  $J = (j_1, j_2, \dots, j_m)$  and  $K$  are two compositions, then we write  $J \xrightarrow[M]{} K$  if there exists an  $\ell \in \{2, 3, \dots, m\}$  such that  $j_\ell > 2$  and  $K = (j_1, j_2, \dots, j_{\ell-1}, 2, j_\ell - 2, j_{\ell+1}, j_{\ell+2}, \dots, j_m)$ . (In other words, we write  $J \xrightarrow[M]{} K$  if  $K$  can be obtained from  $J$  by “splitting” some non-initial entry  $j_\ell > 2$  into two consecutive entries 2 and  $j_\ell - 2$ .)

- **Example.** Here are all instances of the  $\xrightarrow[M]{} M$  relation on compositions of size  $\leq 5$ :

$$\begin{aligned}(1, 3) &\xrightarrow[M]{} (1, 2, 1), & (1, 4) &\xrightarrow[M]{} (1, 2, 2), \\(1, 3, 1) &\xrightarrow[M]{} (1, 2, 1, 1), & (1, 1, 3) &\xrightarrow[M]{} (1, 1, 2, 1), \\(2, 3) &\xrightarrow[M]{} (2, 2, 1).\end{aligned}$$

- **Proposition.** The ideal  $\mathcal{K}_{\text{EpK}}$  of  $\text{QSym}$  is spanned (as a  $\mathbb{Q}$ -vector space) by all sums of the form  $M_J + M_K$ , where  $J$  and  $K$  are two compositions satisfying  $J \xrightarrow[M]{} K$ .

- **Question.** Do other descent statistics allow for similar descriptions of  $\mathcal{K}_{st}$  ?

## Section 4

---

### Left-/right-shuffle-compatibility

References:

- Darij Grinberg, *Shuffle-compatible permutation statistics II: the exterior peak set*, draft.
- Darij Grinberg, *Dual immaculate creation operators and a dendriform algebra structure on the quasisymmetric functions*, *Canad. J. Math.* 69 (2017), pp. 21–53.

## Left/right-shuffle-compatibility (repeated)

- We further begin the study of a finer version of shuffle-compatibility: “left/right-shuffle-compatibility”.
- Given two disjoint nonempty permutations  $\pi$  and  $\sigma$ ,
  - a *left shuffle* of  $\pi$  and  $\sigma$  is a shuffle of  $\pi$  and  $\sigma$  that starts with a letter of  $\pi$ ;
  - a *right shuffle* of  $\pi$  and  $\sigma$  is a shuffle of  $\pi$  and  $\sigma$  that starts with a letter of  $\sigma$ .
- We let  $S_{\prec}(\pi, \sigma)$  be the set of all left shuffles of  $\pi$  and  $\sigma$ . We let  $S_{\succ}(\pi, \sigma)$  be the set of all right shuffles of  $\pi$  and  $\sigma$ .
- A statistic  $st$  is said to be *left-shuffle-compatible* if for any two disjoint nonempty permutations  $\pi$  and  $\sigma$  such that

the first entry of  $\pi$  is greater than the first entry of  $\sigma$ ,

the multiset

$$\{st \tau \mid \tau \in S_{\prec}(\pi, \sigma)\}_{\text{multiset}}$$

depends only on  $st \pi$ ,  $st \sigma$ ,  $|\pi|$  and  $|\sigma|$ .

- We show that Des, des, Lpk and Epk are left- and right-shuffle-compatible. (But not maj or Rpk.)

- This proof will use a **dendriform algebra** structure on  $\mathcal{QSym}$ , as well as two other operations and a bit of the Hopf algebra structure.

I don't know of a combinatorial proof.

- This structure first appeared in:

Darij Grinberg, *Dual immaculate creation operators and a dendriform algebra structure on the quasisymmetric functions*, *Canad. J. Math.* 69 (2017), pp. 21–53.

But the ideas go back to:

- Glânffrwd P. Thomas, *Frames, Young tableaux, and Baxter sequences*, *Advances in Mathematics*, Volume 26, Issue 3, December 1977, Pages 275–289.
- Jean-Christophe Novelli, Jean-Yves Thibon, *Construction of dendriform trialgebras*, arXiv:math/0510218.

Something similar also appeared in: Aristophanes Dimakis, Folkert Müller-Hoissen, *Quasi-symmetric functions and the KP hierarchy*, *Journal of Pure and Applied Algebra*, Volume 214, Issue 4, April 2010, Pages 449–460.

## Dendriform structure on $\mathbb{Q}\text{Sym}$ , part 1

- For any monomial  $m$ , let  $\text{Supp } m$  denote the set  $\{i \mid x_i \text{ appears in } m\}$ .
- **Example.**  $\text{Supp}(x_3^5 x_6 x_8) = \{3, 6, 8\}$ .



## Dendriform structure on $\mathbb{Q}\text{Sym}$ , part 1

- For any monomial  $m$ , let  $\text{Supp } m$  denote the set  $\{i \mid x_i \text{ appears in } m\}$ .
- **Example.**  $\text{Supp}(x_3^5 x_6 x_8) = \{3, 6, 8\}$ .
- We define a binary operation  $\prec$  on the  $\mathbb{Q}$ -vector space  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$  as follows:

- On monomials, it should be given by

$$m \prec n = \begin{cases} m \cdot n, & \text{if } \min(\text{Supp } m) < \min(\text{Supp } n); \\ 0, & \text{if } \min(\text{Supp } m) \geq \min(\text{Supp } n) \end{cases}$$

for any two monomials  $m$  and  $n$ .

- It should be  $\mathbb{Q}$ -bilinear.
- It should be continuous (i.e., its  $\mathbb{Q}$ -bilinearity also applies to infinite  $\mathbb{Q}$ -linear combinations).
- Well-definedness is pretty clear.
- **Example.**  $(x_2^2 x_4) \prec (x_3^2 x_5) = x_2^2 x_3^2 x_4 x_5$ , but  $(x_2^2 x_4) \prec (x_2^2 x_5) = 0$ .

## Dendriform structure on $\mathbb{Q}\text{Sym}$ , part 1

- For any monomial  $m$ , let  $\text{Supp } m$  denote the set  $\{i \mid x_i \text{ appears in } m\}$ .
- **Example.**  $\text{Supp}(x_3^5 x_6 x_8) = \{3, 6, 8\}$ .
- We define a binary operation  $\succeq$  on the  $\mathbb{Q}$ -vector space  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$  as follows:

- On monomials, it should be given by

$$m \succeq n = \begin{cases} m \cdot n, & \text{if } \min(\text{Supp } m) \geq \min(\text{Supp } n); \\ 0, & \text{if } \min(\text{Supp } m) < \min(\text{Supp } n) \end{cases}$$

for any two monomials  $m$  and  $n$ .

- It should be  $\mathbb{Q}$ -bilinear.
- It should be continuous (i.e., its  $\mathbb{Q}$ -bilinearity also applies to infinite  $\mathbb{Q}$ -linear combinations).
- Well-definedness is pretty clear.
- **Example.**  $(x_2^2 x_4) \succeq (x_3^2 x_5) = 0$ , but  $(x_2^2 x_4) \succeq (x_2^2 x_5) = x_2^4 x_4 x_5$ .

- We now have defined two binary operations  $\prec$  and  $\succ$  on  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$ . They satisfy:

$$a \prec b + a \succ b = ab;$$

$$(a \prec b) \prec c = a \prec (bc);$$

$$(a \succ b) \prec c = a \succ (b \prec c);$$

$$a \succ (b \succ c) = (ab) \succ c.$$

- We now have defined two binary operations  $\prec$  and  $\succ$  on  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$ . They satisfy:

$$a \prec b + a \succ b = ab;$$

$$(a \prec b) \prec c = a \prec (bc);$$

$$(a \succ b) \prec c = a \succ (b \prec c);$$

$$a \succ (b \succ c) = (ab) \succ c.$$

- This says that  $(\mathbb{Q}[[x_1, x_2, x_3, \dots]], \prec, \succ)$  is a *dendriform algebra* in the sense of Loday (see, e.g., [Zinbiel, \*Encyclopedia of types of algebras 2010\*, arXiv:1101.0267](#)).

- We now have defined two binary operations  $\prec$  and  $\succ$  on  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$ . They satisfy:

$$a \prec b + a \succ b = ab;$$

$$(a \prec b) \prec c = a \prec (bc);$$

$$(a \succ b) \prec c = a \succ (b \prec c);$$

$$a \succ (b \succ c) = (ab) \succ c.$$

- This says that  $(\mathbb{Q}[[x_1, x_2, x_3, \dots]], \prec, \succ)$  is a *dendriform algebra* in the sense of Loday (see, e.g., [Zinbiel, \*Encyclopedia of types of algebras 2010\*, arXiv:1101.0267](#)).
- $\mathbb{Q}\text{Sym}$  is closed under both operations  $\prec$  and  $\succ$ . Thus,  $\mathbb{Q}\text{Sym}$  becomes a dendriform subalgebra of  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$ .

## The kernel criterion for left/right-shuffle-compatibility

- Recall the **Theorem**: The descent statistic  $st$  is shuffle-compatible if and only if  $\mathcal{K}_{st}$  is an ideal of  $\text{QSym}$ .

- Similarly, we have:
  - **Theorem.** The descent statistic  $st$  is left-shuffle-compatible if and only if  $\mathcal{K}_{st}$  is a  $\prec$ -ideal of  $QSym$  (that is:  $QSym \prec \mathcal{K}_{st} \subseteq \mathcal{K}_{st}$  and  $\mathcal{K}_{st} \prec QSym \subseteq \mathcal{K}_{st}$ ).
  - **Theorem.** The descent statistic  $st$  is right-shuffle-compatible if and only if  $\mathcal{K}_{st}$  is a  $\succeq$ -ideal of  $QSym$  (that is:  $QSym \succeq \mathcal{K}_{st} \subseteq \mathcal{K}_{st}$  and  $\mathcal{K}_{st} \succeq QSym \subseteq \mathcal{K}_{st}$ ).

## The kernel criterion for left/right-shuffle-compatibility

- Similarly, we have:
  - **Theorem.** The descent statistic  $st$  is left-shuffle-compatible if and only if  $\mathcal{K}_{st}$  is a  $\prec$ -ideal of  $QSym$  (that is:  $QSym \prec \mathcal{K}_{st} \subseteq \mathcal{K}_{st}$  and  $\mathcal{K}_{st} \prec QSym \subseteq \mathcal{K}_{st}$ ).
  - **Theorem.** The descent statistic  $st$  is right-shuffle-compatible if and only if  $\mathcal{K}_{st}$  is a  $\succeq$ -ideal of  $QSym$  (that is:  $QSym \succeq \mathcal{K}_{st} \subseteq \mathcal{K}_{st}$  and  $\mathcal{K}_{st} \succeq QSym \subseteq \mathcal{K}_{st}$ ).
- **Corollary.** Let  $st$  be a descent statistic. If  $st$  has 2 of the 3 properties “shuffle-compatible”, “left-shuffle-compatible” and “right-shuffle-compatible”, then it has all 3.  
(To prove this, recall  $ab = a \prec b + a \succeq b$ .)



## The kernel criterion for left/right-shuffle-compatibility

- Similarly, we have:
  - **Theorem.** The descent statistic  $st$  is left-shuffle-compatible if and only if  $\mathcal{K}_{st}$  is a  $\prec$ -ideal of  $QSym$  (that is:  $QSym \prec \mathcal{K}_{st} \subseteq \mathcal{K}_{st}$  and  $\mathcal{K}_{st} \prec QSym \subseteq \mathcal{K}_{st}$ ).
  - **Theorem.** The descent statistic  $st$  is right-shuffle-compatible if and only if  $\mathcal{K}_{st}$  is a  $\succeq$ -ideal of  $QSym$  (that is:  $QSym \succeq \mathcal{K}_{st} \subseteq \mathcal{K}_{st}$  and  $\mathcal{K}_{st} \succeq QSym \subseteq \mathcal{K}_{st}$ ).
- **Corollary.** Let  $st$  be a descent statistic. If  $st$  has 2 of the 3 properties “shuffle-compatible”, “left-shuffle-compatible” and “right-shuffle-compatible”, then it has all 3.  
(To prove this, recall  $ab = a \prec b + a \succeq b$ .)
- **Question.** Are there non-shuffle-compatible but left-shuffle-compatible descent statistics?  
(I don't know of any, but haven't looked far.)

## The kernel criterion for left/right-shuffle-compatibility

- Similarly, we have:
  - **Theorem.** The descent statistic  $st$  is left-shuffle-compatible if and only if  $\mathcal{K}_{st}$  is a  $\prec$ -ideal of  $QSym$  (that is:  $QSym \prec \mathcal{K}_{st} \subseteq \mathcal{K}_{st}$  and  $\mathcal{K}_{st} \prec QSym \subseteq \mathcal{K}_{st}$ ).
  - **Theorem.** The descent statistic  $st$  is right-shuffle-compatible if and only if  $\mathcal{K}_{st}$  is a  $\succeq$ -ideal of  $QSym$  (that is:  $QSym \succeq \mathcal{K}_{st} \subseteq \mathcal{K}_{st}$  and  $\mathcal{K}_{st} \succeq QSym \subseteq \mathcal{K}_{st}$ ).
- **Corollary.** Let  $st$  be a descent statistic. If  $st$  has 2 of the 3 properties “shuffle-compatible”, “left-shuffle-compatible” and “right-shuffle-compatible”, then it has all 3.  
(To prove this, recall  $ab = a \prec b + a \succeq b$ .)
- Okay, but how do we actually prove that  $\mathcal{K}_{st}$  is a  $\prec$ -ideal of  $QSym$  ?

## The dendriform product formula for the $F_\alpha$

- An analogue of the product formula for  $F_{\text{Comp}\pi} \cdot F_{\text{Comp}\sigma}$ :

**Theorem.** Let  $\pi$  and  $\sigma$  be two disjoint nonempty permutations. Assume that

the first entry of  $\pi$  is greater than the first entry of  $\sigma$ .

Then,

$$F_{\text{Comp}\pi} \prec F_{\text{Comp}\sigma} = \sum_{\tau \in \mathcal{S}_\prec(\pi, \sigma)} F_{\text{Comp}\tau}$$

and

$$F_{\text{Comp}\pi} \succeq F_{\text{Comp}\sigma} = \sum_{\tau \in \mathcal{S}_\succ(\pi, \sigma)} F_{\text{Comp}\tau}.$$

## The dendriform product formula for the $F_\alpha$

- An analogue of the product formula for  $F_{\text{Comp}\pi} \cdot F_{\text{Comp}\sigma}$ :

**Theorem.** Let  $\pi$  and  $\sigma$  be two disjoint nonempty permutations. Assume that

the first entry of  $\pi$  is greater than the first entry of  $\sigma$ .

Then,

$$F_{\text{Comp}\pi} \prec F_{\text{Comp}\sigma} = \sum_{\tau \in \mathcal{S}_\prec(\pi, \sigma)} F_{\text{Comp}\tau}$$

and

$$F_{\text{Comp}\pi} \succeq F_{\text{Comp}\sigma} = \sum_{\tau \in \mathcal{S}_\succ(\pi, \sigma)} F_{\text{Comp}\tau}.$$

- This theorem yields that Des is left-shuffle-compatible and right-shuffle-compatible, just as the product formula showed that Des is shuffle-compatible.

## The dendriform product formula for the $F_\alpha$

- An analogue of the product formula for  $F_{\text{Comp}\pi} \cdot F_{\text{Comp}\sigma}$ :

**Theorem.** Let  $\pi$  and  $\sigma$  be two disjoint nonempty permutations. Assume that

the first entry of  $\pi$  is greater than the first entry of  $\sigma$ .

Then,

$$F_{\text{Comp}\pi} \prec F_{\text{Comp}\sigma} = \sum_{\tau \in \mathcal{S}_\prec(\pi, \sigma)} F_{\text{Comp}\tau}$$

and

$$F_{\text{Comp}\pi} \succeq F_{\text{Comp}\sigma} = \sum_{\tau \in \mathcal{S}_\succ(\pi, \sigma)} F_{\text{Comp}\tau}.$$

- This theorem yields that Des is left-shuffle-compatible and right-shuffle-compatible, just as the product formula showed that Des is shuffle-compatible.
- Can we play the same game with Epk, using our  $K_{n,\Lambda}^{\mathbb{Z}}$  series instead of  $F_\alpha$  ?

## The dendriform product formula for the $F_\alpha$

- An analogue of the product formula for  $F_{\text{Comp}\pi} \cdot F_{\text{Comp}\sigma}$ :

**Theorem.** Let  $\pi$  and  $\sigma$  be two disjoint nonempty permutations. Assume that

the first entry of  $\pi$  is greater than the first entry of  $\sigma$ .

Then,

$$F_{\text{Comp}\pi} \prec F_{\text{Comp}\sigma} = \sum_{\tau \in \mathcal{S}_\prec(\pi, \sigma)} F_{\text{Comp}\tau}$$

and

$$F_{\text{Comp}\pi} \succeq F_{\text{Comp}\sigma} = \sum_{\tau \in \mathcal{S}_\succ(\pi, \sigma)} F_{\text{Comp}\tau}.$$

- This theorem yields that Des is left-shuffle-compatible and right-shuffle-compatible, just as the product formula showed that Des is shuffle-compatible.
- Can we play the same game with Epk, using our  $K_{n,\Lambda}^{\mathbb{Z}}$  series instead of  $F_\alpha$  ?

Not to my knowledge: I don't know of an analogue of the above theorem. Instead, I use a different approach.

## The $\phi$ and $\star$ operations

- I need two other operations on quasisymmetric functions.
- We define a binary operation  $\phi$  on the  $\mathbb{Q}$ -vector space  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$  as follows:

- On monomials, it should be given by

$$m \phi n = \begin{cases} m \cdot n, & \text{if } \max(\text{Supp } m) \leq \min(\text{Supp } n); \\ 0, & \text{if } \max(\text{Supp } m) > \min(\text{Supp } n) \end{cases}$$

for any two monomials  $m$  and  $n$ .

- It should be  $\mathbb{Q}$ -bilinear.
- It should be continuous (i.e., its  $\mathbb{Q}$ -bilinearity also applies to infinite  $\mathbb{Q}$ -linear combinations).
- Well-definedness is pretty clear.
- **Example.**  $(x_2^2 x_4) \phi (x_4^2 x_5) = x_2^2 x_4^3 x_5$  and  $(x_2^2 x_4) \phi (x_3^2 x_5) = 0$ .

## The $\phi$ and $\star$ operations

- I need two other operations on quasisymmetric functions.
- We define a binary operation  $\star$  on the  $\mathbb{Q}$ -vector space  $\mathbb{Q}[[x_1, x_2, x_3, \dots]]$  as follows:

- On monomials, it should be given by

$$m \star n = \begin{cases} m \cdot n, & \text{if } \max(\text{Supp } m) < \min(\text{Supp } n); \\ 0, & \text{if } \max(\text{Supp } m) \geq \min(\text{Supp } n) \end{cases}$$

for any two monomials  $m$  and  $n$ .

- It should be  $\mathbb{Q}$ -bilinear.
- It should be continuous (i.e., its  $\mathbb{Q}$ -bilinearity also applies to infinite  $\mathbb{Q}$ -linear combinations).
- Well-definedness is pretty clear.
- **Example.**  $(x_2^2 x_4) \star (x_4^2 x_5) = 0$ , but  $(x_2^2 x_4) \star (x_5^2 x_6) = x_2^2 x_4 x_5^2 x_6$ .



## The $\phi$ and $\times$ operations

- QSym is closed under both operations  $\phi$  and  $\times$ .
- Belgthor ( $\phi$ ) and Tvimadur ( $\times$ ) are two calendar runes signifying two of the 19 years of the Metonic cycle. I sought two (unused) symbols that (roughly) look like “stacking one thing (monomial) atop another”, allowing overlap ( $\phi$ ) and disallowing overlap ( $\times$ ).

## A crucial identity

- **Proposition.** For any  $a \in \mathbb{Q}[[x_1, x_2, x_3, \dots]]$  and  $b \in \text{QSym}$ , we have

$$\sum_{(b)} (S(b_{(1)}) \phi a) b_{(2)} = a \prec b,$$

where we use the Hopf algebra structure on  $\text{QSym}$  and the following notations:

- $S$  for the antipode of  $\text{QSym}$ ;
- Sweedler's notation  $\sum_{(b)} b_{(1)} \otimes b_{(2)}$  for  $\Delta(b)$ .

## A crucial identity

- **Proposition.** For any  $a \in \mathbb{Q}[[x_1, x_2, x_3, \dots]]$  and  $b \in \text{QSym}$ , we have

$$\sum_{(b)} (S(b_{(1)}) \phi a) b_{(2)} = a \prec b,$$

where we use the Hopf algebra structure on  $\text{QSym}$ .

- This proposition was important in my study of “dual immaculate creation operators”; it is equally helpful here.

**Corollary.** Let  $M$  be an ideal of  $\text{QSym}$ . If  $\text{QSym} \phi M \subseteq M$ , then  $M \prec \text{QSym} \subseteq M$ .

## A crucial identity

- **Proposition.** For any  $a \in \mathbb{Q}[[x_1, x_2, x_3, \dots]]$  and  $b \in \text{QSym}$ , we have

$$\sum_{(b)} (S(b_{(1)}) \phi a) b_{(2)} = a \prec b,$$

where we use the Hopf algebra structure on  $\text{QSym}$ .

- This proposition was important in my study of “dual immaculate creation operators”; it is equally helpful here.

**Corollary.** Let  $M$  be an ideal of  $\text{QSym}$ . If  $\text{QSym} \phi M \subseteq M$ , then  $M \prec \text{QSym} \subseteq M$ .

- A similar identity for  $\bowtie$  yields:

**Corollary.** Let  $M$  be an ideal of  $\text{QSym}$ . If  $\text{QSym} \bowtie M \subseteq M$ , then  $\text{QSym} \succeq M \subseteq M$ .

## A crucial identity

- **Proposition.** For any  $a \in \mathbb{Q}[[x_1, x_2, x_3, \dots]]$  and  $b \in \text{QSym}$ , we have

$$\sum_{(b)} (S(b_{(1)}) \phi a) b_{(2)} = a \prec b,$$

where we use the Hopf algebra structure on  $\text{QSym}$ .

- This proposition was important in my study of “dual immaculate creation operators”; it is equally helpful here.

**Corollary.** Let  $M$  be an ideal of  $\text{QSym}$ . If  $\text{QSym} \phi M \subseteq M$ , then  $M \prec \text{QSym} \subseteq M$ .

- A similar identity for  $\bowtie$  yields:

**Corollary.** Let  $M$  be an ideal of  $\text{QSym}$ . If  $\text{QSym} \bowtie M \subseteq M$ , then  $\text{QSym} \succeq M \subseteq M$ .

- **Corollary.** Let  $M$  be an ideal of  $\text{QSym}$  that is a left  $\phi$ -ideal (that is,  $\text{QSym} \phi M \subseteq M$ ) and a left  $\bowtie$ -ideal (that is,  $\text{QSym} \bowtie M \subseteq M$ ). Then,  $M$  is a  $\prec$ -ideal and a  $\succeq$ -ideal of  $\text{QSym}$ .

## “Runic calculus”

- The operations  $\phi$  and  $\times$  are associative and unital (with unity 1).

## “Runic calculus”

- The operations  $\Phi$  and  $\times$  are associative and unital (with unity 1).
- For any two nonempty (i.e.,  $\neq ()$ ) compositions  $\alpha$  and  $\beta$ , we have

$$M_\alpha \Phi M_\beta = M_{[\alpha, \beta]} + M_{\alpha \odot \beta};$$

$$M_\alpha \times M_\beta = M_{[\alpha, \beta]};$$

$$F_\alpha \Phi F_\beta = F_{\alpha \odot \beta};$$

$$F_\alpha \times F_\beta = F_{[\alpha, \beta]},$$

where  $[\alpha, \beta]$  and  $\alpha \odot \beta$  are two compositions defined by

$$\begin{aligned} & [(\alpha_1, \alpha_2, \dots, \alpha_\ell), (\beta_1, \beta_2, \dots, \beta_m)] \\ & = (\alpha_1, \alpha_2, \dots, \alpha_\ell, \beta_1, \beta_2, \dots, \beta_m) \end{aligned}$$

and

$$\begin{aligned} & (\alpha_1, \alpha_2, \dots, \alpha_\ell) \odot (\beta_1, \beta_2, \dots, \beta_m) \\ & = (\alpha_1, \alpha_2, \dots, \alpha_{\ell-1}, \alpha_\ell + \beta_1, \beta_2, \beta_3, \dots, \beta_m). \end{aligned}$$

## “Runic calculus”

- The operations  $\phi$  and  $\star$  are associative and unital (with unity 1).
- They satisfy

$$(a \phi b) \star c - a \phi (b \star c) = \varepsilon(b) (a \star c - a \phi c);$$

$$(a \star b) \phi c - a \star (b \phi c) = \varepsilon(b) (a \phi c - a \star c),$$

where  $\varepsilon : \mathbb{Q}[[x_1, x_2, x_3, \dots]] \rightarrow \mathbb{Q}$  sends  $f$  to  $f(0, 0, 0, \dots)$ .

- As a consequence,

$$(a \phi b) \star c + (a \star b) \phi c = a \phi (b \star c) + a \star (b \phi c).$$

This says that  $(\text{QSym}, \phi, \star)$  is a  $As^{(2)}$ -algebra (in the sense of Loday).

- **Question.** What other identities do  $\phi$ ,  $\star$ ,  $\prec$  and  $\succeq$  satisfy?



## How to check left-/right-shuffle-compatibility

- Recall the **Corollary**: Let  $M$  be an ideal of  $\text{QSym}$  that is a left  $\phi$ -ideal (that is,  $\text{QSym} \phi M \subseteq M$ ) and a left  $\varkappa$ -ideal (that is,  $\text{QSym} \varkappa M \subseteq M$ ). Then,  $M$  is a  $\prec$ -ideal and a  $\succ$ -ideal of  $\text{QSym}$ .

## How to check left-/right-shuffle-compatibility

- Recall the **Corollary**: Let  $M$  be an ideal of  $\text{QSym}$  that is a left  $\phi$ -ideal (that is,  $\text{QSym} \phi M \subseteq M$ ) and a left  $\star$ -ideal (that is,  $\text{QSym} \star M \subseteq M$ ). Then,  $M$  is a  $\prec$ -ideal and a  $\succeq$ -ideal of  $\text{QSym}$ .
- Given a shuffle-compatible descent statistic  $st$ , we thus conclude that if  $\mathcal{K}_{st}$  is a left  $\phi$ -ideal and a left  $\star$ -ideal, then  $st$  is left-shuffle-compatible and right-shuffle-compatible.

## How to check left-/right-shuffle-compatibility

- Recall the **Corollary**: Let  $M$  be an ideal of  $\text{QSym}$  that is a left  $\phi$ -ideal (that is,  $\text{QSym} \phi M \subseteq M$ ) and a left  $\star$ -ideal (that is,  $\text{QSym} \star M \subseteq M$ ). Then,  $M$  is a  $\prec$ -ideal and a  $\succ$ -ideal of  $\text{QSym}$ .
- Given a shuffle-compatible descent statistic  $\text{st}$ , we thus conclude that if  $\mathcal{K}_{\text{st}}$  is a left  $\phi$ -ideal and a left  $\star$ -ideal, then  $\text{st}$  is left-shuffle-compatible and right-shuffle-compatible.
- Fortunately, this is easy to apply:

**Proposition.** Let  $\text{st}$  be a descent statistic.

- $\mathcal{K}_{\text{st}}$  is a left  $\phi$ -ideal of  $\text{QSym}$  if and only if  $\text{st}$  has the following property: If  $J$  and  $K$  are two  $\text{st}$ -equivalent nonempty compositions, and if  $G$  is any nonempty composition, then  $G \odot J$  and  $G \odot K$  are  $\text{st}$ -equivalent.
- $\mathcal{K}_{\text{st}}$  is a left  $\star$ -ideal of  $\text{QSym}$  if and only if  $\text{st}$  has the following property: If  $J$  and  $K$  are two  $\text{st}$ -equivalent nonempty compositions, and if  $G$  is any nonempty composition, then  $[G, J]$  and  $[G, K]$  are  $\text{st}$ -equivalent.

## How to check left-/right-shuffle-compatibility

- Recall the **Corollary**: Let  $M$  be an ideal of  $\text{QSym}$  that is a left  $\phi$ -ideal (that is,  $\text{QSym} \phi M \subseteq M$ ) and a left  $\star$ -ideal (that is,  $\text{QSym} \star M \subseteq M$ ). Then,  $M$  is a  $\prec$ -ideal and a  $\succ$ -ideal of  $\text{QSym}$ .
- Given a shuffle-compatible descent statistic  $\text{st}$ , we thus conclude that if  $\mathcal{K}_{\text{st}}$  is a left  $\phi$ -ideal and a left  $\star$ -ideal, then  $\text{st}$  is left-shuffle-compatible and right-shuffle-compatible.
- Fortunately, this is easy to apply:

**Proposition.** Let  $\text{st}$  be a descent statistic.

- $\mathcal{K}_{\text{st}}$  is a left  $\phi$ -ideal of  $\text{QSym}$  if and only if for each fixed nonempty composition  $A$ , the value  $\text{st}(A \odot B)$  (for a nonempty composition  $B$ ) is uniquely determined by  $|B|$  and  $\text{st} B$ .
- $\mathcal{K}_{\text{st}}$  is a left  $\star$ -ideal of  $\text{QSym}$  if and only if for each fixed nonempty composition  $A$ , the value  $\text{st}([A, B])$  (for a nonempty composition  $B$ ) is uniquely determined by  $|B|$  and  $\text{st} B$ .

## Why $\text{Epk}$ is left- and right-shuffle-compatible

- Thus, proving that  $\text{Epk}$  is left- and right-shuffle-compatible requires showing that  $\text{Epk}(A \odot B)$  and  $\text{Epk}([A, B])$  (for nonempty compositions  $A$  and  $B$ ) are uniquely determined by  $|B|$  and  $\text{Epk} B$  when  $A$  is fixed.

## Why $\text{Epk}$ is left- and right-shuffle-compatible

- Thus, proving that  $\text{Epk}$  is left- and right-shuffle-compatible requires showing that  $\text{Epk}(A \odot B)$  and  $\text{Epk}([A, B])$  (for nonempty compositions  $A$  and  $B$ ) are uniquely determined by  $|B|$  and  $\text{Epk} B$  when  $A$  is fixed.
- This is not hard:

$$\text{Epk}(A \odot B) = ((\text{Epk} A) \setminus \{n\}) \cup (\text{Epk} B + n);$$

$$\text{Epk}([A, B]) = (\text{Epk} A) \cup ((\text{Epk} B + n) \setminus \{n+1\}),$$

where  $n = |A|$ .

## Why $\text{Epk}$ is left- and right-shuffle-compatible

- Thus, proving that  $\text{Epk}$  is left- and right-shuffle-compatible requires showing that  $\text{Epk}(A \odot B)$  and  $\text{Epk}([A, B])$  (for nonempty compositions  $A$  and  $B$ ) are uniquely determined by  $|B|$  and  $\text{Epk} B$  when  $A$  is fixed.
- This is not hard:

$$\text{Epk}(A \odot B) = ((\text{Epk} A) \setminus \{n\}) \cup (\text{Epk} B + n);$$

$$\text{Epk}([A, B]) = (\text{Epk} A) \cup ((\text{Epk} B + n) \setminus \{n + 1\}),$$

where  $n = |A|$ .

- Similarly,
  - $\text{Des}$  is left- and right-shuffle-compatible (again);
  - $\text{des}$  is left- and right-shuffle-compatible;
  - $\text{maj}$  is **not** left- or right-shuffle-compatible ( $\text{maj}(A \odot B)$  and  $\text{maj}([A, B])$  depend not just on  $|A|$ ,  $|B|$ ,  $\text{maj} A$  and  $\text{maj} B$ , but also on  $\text{des} B$ ).

## Why $\text{Epk}$ is left- and right-shuffle-compatible

- Thus, proving that  $\text{Epk}$  is left- and right-shuffle-compatible requires showing that  $\text{Epk}(A \odot B)$  and  $\text{Epk}([A, B])$  (for nonempty compositions  $A$  and  $B$ ) are uniquely determined by  $|B|$  and  $\text{Epk} B$  when  $A$  is fixed.
- This is not hard:

$$\text{Epk}(A \odot B) = ((\text{Epk} A) \setminus \{n\}) \cup (\text{Epk} B + n);$$

$$\text{Epk}([A, B]) = (\text{Epk} A) \cup ((\text{Epk} B + n) \setminus \{n + 1\}),$$

where  $n = |A|$ .

- Similarly,
  - $(\text{des}, \text{maj})$  is left- and right-shuffle-compatible;
  - $\text{Lpk}$  is left- and right-shuffle-compatible;
  - $\text{Rpk}$  is **not** left- or right-shuffle-compatible;
  - $\text{Pk}$  is **not** left- or right-shuffle-compatible.
- More statistics remain to be analyzed.



- **Question (repeated).** Can a statistic be shuffle-compatible without being a descent statistic?  
(Would FQSym help in studying such statistics?)
- **Question (repeated).** Can a descent statistic be left-shuffle-compatible without being shuffle-compatible?
- **Question.** What mileage do we get out of  $\mathcal{Z}$ -enriched  $(P, \gamma)$ -partitions for other choices of  $\mathcal{N}$  and  $\mathcal{Z}$  ?
- **Question (repeated).** Where do the  $\Gamma_{\mathcal{Z}}(P, \gamma)$  live?
- **Question.** Hsiao and Petersen have generalized enriched  $(P, \gamma)$ -partitions to “colored  $(P, \gamma)$ -partitions” (with  $\{+, -\}$  replaced by an  $m$ -element set). Does this generalize our results?

**Thanks** to Ira Gessel and Yan Zhuang for initiating this direction (and for helpful discussions), and to Sara Billey for an invitation to Seattle.

And thanks to you for attending!

**slides:** [http:](http://www.cip.ifi.lmu.de/~grinberg/algebra/seattle18.pdf)

[//www.cip.ifi.lmu.de/~grinberg/algebra/seattle18.pdf](http://www.cip.ifi.lmu.de/~grinberg/algebra/seattle18.pdf)

**paper:** [http:](http://www.cip.ifi.lmu.de/~grinberg/algebra/gzshuf2.pdf)

[//www.cip.ifi.lmu.de/~grinberg/algebra/gzshuf2.pdf](http://www.cip.ifi.lmu.de/~grinberg/algebra/gzshuf2.pdf)

**project:** <https://github.com/darijgr/gzshuf>